CBL QUICK START GUIDE



Depositing RGGI CO2 Allowances for use in the CBL Market

1. SUMMARY

Depositing Compliance Instruments associated with the Regional Greenhouse Gas Initiative Program for use in the CBL Markets is a simple, two-step process:

- 1. Within RGGI COATS, transfer RGGI Allowances to your CBL Market Registry Account.
- 2. Within CBL Market > Compliance > Holdings, propose an update of the relevant quantity of Units from Step 1 to your Exchange Product Account.Note: Only Firm Managers are able to complete this step.

Once these steps are completed, CBL Market Operations will review and confirm the update to your Exchange Product Account.

A detailed overview of these steps is outlined in the following sections.

2. TRANSFER OF RGGI ALLOWANCES WITHIN RGGI COATS

To transfer RGGI Allowances to your CBL Market Registry Account, please follow the steps outlined below. The transfer will require an Account Representatives to propose and approve a Transfer Request.

Propose and Approve a Transfer Request

- a. Log in to RGGI COATS
- b. Select Allowance Transactions > Transfer Allowances



3. SELECT THE TRANSFERRING ACCOUNT

			RGGI CO2 BUDGET TRADING PROGRAM						
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View the Allowance Holding grid for details on the allowances held in the account, including the Total Allowances Available in the lower right hand corner.

4. SELECT THE ACQUIRING ACCOUNT: Your CBL Market Registry Account

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5. SELECT ALLOWANCES TO BE TRANSFERRED

- a. Choose Selection Method: Automatic
- b. Enter Amount to Transfer
- c. Select Allocation Year: For Products associated with the RGGI Program, Allocation Year is irrelevant, select any
- d. Choose Deduction Method: Begin with first acquired
- e. Select Calculate
- f. Select Next

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6. REVIEW AND APPROVE TRANSACTION

- a. Enter Transaction Date: present date for transfer
- b. Price per allowance: leave blank for transfers
- c. No associated sale or purchase transaction: check this box
- d. Exchange settlement transaction: leave this blank
- e. Comment: optional, can be left blank
- f. Click Generate Session PIN: once the PIN is received in the RGGI user's email, enter it in RGGI COATS
- g. Enter RGGI user's password
- h. Click Submit



Allowances to be	Transferred From
Account Number	CT10788
Account Name	ABC Source Compliance Account
State	CT
Authorized Accou	at Representative Relity, Megan

Allowances to be	transferred to
Account Number	CT10722
Account Name	New CT Source Compliance Account
State	CT
Authorized Accou	ant Representative Allen, John

Allowances to be Transferred

Allocation Year	Туре	Originating State	Serial Range	Date Acquired	Quantity
2015	Standard	30	1235558671 - 1235559670	07/14/2016	1000

Total to be Transferred: 1000

The CO₂ allowance transfer must include the date of the completion of the last sale or purchase transaction for the CO₂ allowance, if any, and the sale or purchase price of the CO₂ allowance that is the subject of a sale or purchase transaction. The "last sale or purchase transaction" is the financial transaction associated with the current allowance transfer. Note that the last sale or purchase transaction may occur before the associated transfer is completed in RGGI COATS, and that RGGI COATS will automatically record today's date as the date for the transaction if you do not report a date for an associated sale or purchase transaction.

If the CO₂ allowance transfer is the result of a settlement on a futures exchange, the exchange settlement box below should be checked and the sale or purchase transaction price reported to RGG COATS should be consistent with the payment instructions to the respective buyer clearing member for the full contract value based upon the expiration day's settlement price in a means and manner prescribed by the clearing service provider.

Click the Submit button to finalize the allowance transfer.	to moving Units into your Market Registry Account, it does not automatically result
Transaction Date	In a subsequent trade in the CBL Market
Price per allowance of associated sale or purchase transaction (\$)	Leave blank
Check here if there is no associated sale or purchase transaction	O Click this box
Check here if an exchange settlement transaction	Leave blank
Comment. Enter Session PIN	Genorate Session PN account: ricer ory under personality examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment. ⁵
Password *	

7. CONFIRMATION OF ALLOWANCE TRANSACTION: Will reflect the details of

the completed Transfer

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THE TRANSFER IN RGGI COATS IS COMPLETE