

# CBL Markets User Guide

VERSION 2.5.1



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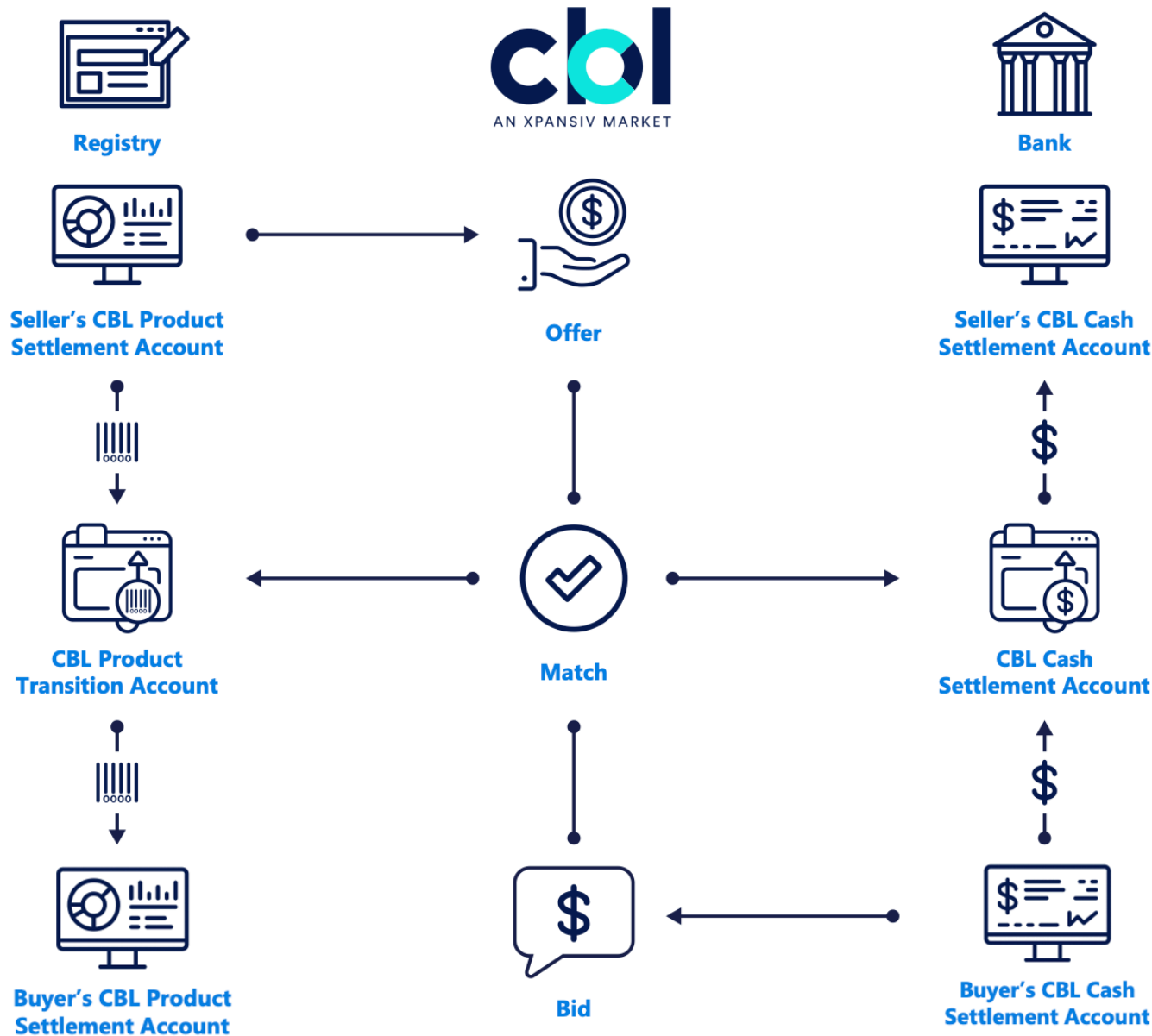
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**PART 1:**

# Getting Set up on CBL

# CBL Overview

- The CBL Platform is a transaction facilitator bringing buyers and sellers together.
- CBL facilitates the transfer of both product (i.e., emission units, RECs) and cash, which are settled via secure connections to both registry and bank accounts, respectively.
- To trade on CBL Market, Participants are required to have existing registry accounts connected to Xpansiv Connect (f/k/a EMA) and an external bank account from which funds can be deposited to and withdrawn from the Market.



# Available Registries

Participants must have the relevant registry accounts they wish to connect to CBL Markets.

Registry Name	Connected Via Xpansiv Connect (Required to link in Xpansiv Connect to transact on CBL)
ACR	YES
Climate Action Reserve (CAR)	YES
EcoRegistry for CERCarbono	YES
Evident Registry for IRECs	YES
Gold Standard (GS)	NO
Puro Registry (CORC)	NO
Verra Verified Carbon Standard (VCS)	YES
Global Emissions Offset (GEO)	YES
Nature Based Global Emissions Offset (NGEO)	YES
Core Global Emissions Offset (CGEO)	YES
Sustainable Development Global Emissions Offset (SDGEO)	NO
Australian National Registry of Emissions (ANREU)	NO
Renewable Energy Target (LRET and SRES)	NO
NSW Energy Saving Scheme (NSW ESS)	NO
New England Power Pool Generation Information Systems (NEPOOL-GIS)	YES
PJM EIS Generation Attribute Tracking System (PJM-GATS)	YES
Texas Renewable Energy Credit Trading Program (ERCOT)	YES
North American Renewables (NAR)	YES
New York Generation Attribute Tracking Systems (NYGATS)	YES
Michigan Renewable Energy Certificates (MIRECS)	YES
Low Carbon Fuel Standard (LRT-CBTS)	NO
California Cap and Trade (CCA)	NO
Regional Greenhouse Gas Initiative (RGGI)	NO
Clean Air Markets Division (CAMD)	NO

# What is Xpansiv Connect (f/k/a EMA)?

Xpansiv Connect is a platform owned by CBL Markets (USA) LLC.

Xpansiv Connect is a powerful tool that provides CBL Market Participants with a single account to view your entire environmental asset portfolio in one place.

Xpansiv Connect also enables real-time transfer initiation and settlement, including retirement within originating registries.

AN XPANSIV SOLUTION

Home Portfolio Transact Projects My EMA CBL

### Xpansiv Portfolio Access: Positions

Spot Inventory Forward Deals Analysis Retired Positions

Spot Positions

Filter Positions by:

-Set Primary Filter -Set Secondary Filter -Set Tertiary Filter -Set Quaternary Filter Filter Clear New Batch

Expand - Collapse Aggregate

<< first < prev 1 2 next > last >>

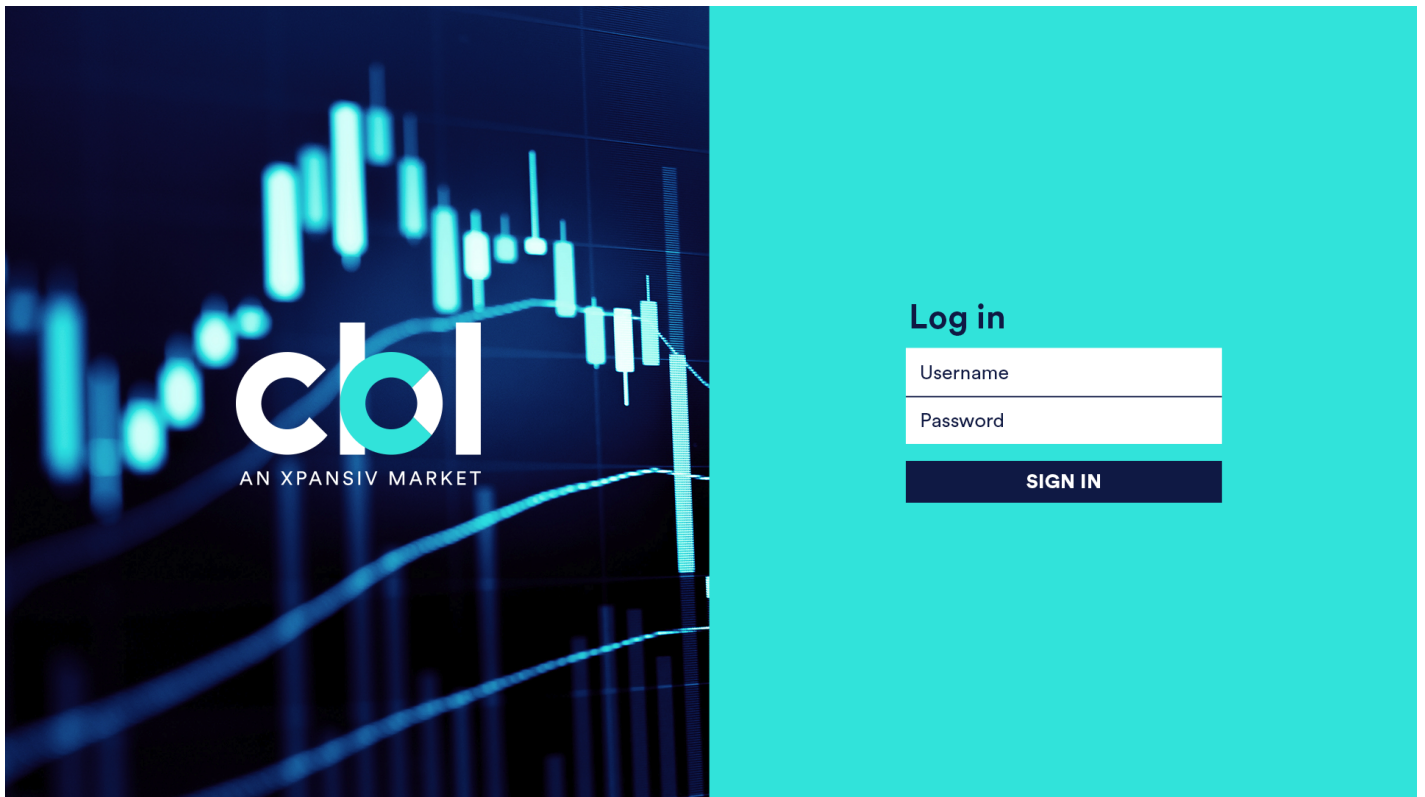
	Instrument	Project Name	Reg Assgn ID	Vintage	Program	Qty	† Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
▶	VCU-20190101-20191031-EE-T...	THEPARAK WIND IN THAILAND	2002	2019	VCS	0					⚙
▶	ERT-20030101-20031231-TRP...	Truck Stop Electrification, Georg...	ACR136	2003	ACR	943					⚙
▶	VCU-20120101-20120630-EE-IN...	Natural Gas Based Combined Cycle...	837	2012	VCS	0					⚙
▶	VCU-20170101-20171231-A/R-K...	Reduced Emissions from Deforesta...	1650	2017	VCS	0					⚙
▶	VCU-20120101-20121231-EE-IN...	WIND POWER PROJECT BY M/...	840	2012	VCS	0					⚙
▶	VCU-20170101-20171231-A/R-C...	Qianbei Afforestation Project	2082	2017	VCS	0					⚙
▶	VCU-20190101-20191231-EE-IN...	Hydroelectric Project in Kinnaur ...	1742	2019	VCS	0					⚙
▶	VCU-20160101-20161231-WHD...	Nanhai MSW Incineration II Project	2098	2016	VCS	0					⚙
▶	VCU-20160101-20161231-EE-C...	Datong River Zhuchaxia Hydropowe...	817	2016	VCS	0					⚙
▶	VCU-20160101-20160630-A/R-Z...	KARIBA REDD+ PROJECT	902	2016	VCS	0					⚙
▶	VCU-20170101-20171231-A/R-K...	Southern Cardamom REDD+ Projec...	1748	2017	VCS	0					⚙
▶	VCU-20200201-20201231-EE-IN...	Bundled Solar Photovoltaic Projec...	1753	2020	VCS	0					⚙
▶	VCU-20200101-20200825-EE-IN...	Bundled Solar Power Project by S...	1762	2020	VCS	0					⚙
▶	VCU-20200301-20201231-EE-C...	Ningxia Xiangshan Weining Wind Fa...	1867	2020	VCS	0					⚙
▶	VCU-20160101-20161231-A/R-P...	Madre de Dios Amazon REDD Proj...	844	2016	VCS	0					⚙

# Access CBL Markets

The CBL portal can be accessed at: [www.cblmarkets.net](http://www.cblmarkets.net)

As a new Participant, you will receive a username and temporary password from CBL's Client On-Boarding team.

You will be asked to select a new password upon your first login to ensure secure access.





**PART 2:**

# User Guide

# Navigating Workspaces

## VOLUNTARY WORKSPACE:

All listed offsets for the voluntary carbon market will display here. These offsets can be purchased towards your voluntary initiatives.

## STANDARD:

CBL has established connections to the major standards via their registries.

The Voluntary workspace offers Participants the ability to filter offers based on any of the standards listed by selecting or de-selecting the radio icons for each of the standards in the **STANDARD** menu.

The screenshot displays the 'Voluntary Order Book' interface. On the left, a sidebar contains navigation icons for Water, Orders and Fills, Holdings, SIP, and CORSIA. The main area is divided into two sections: 'ORDER ENTRY' and 'STANDARD'. The 'ORDER ENTRY' section includes buttons for 'Place Bid', 'Place Offer', and 'Report Trade', along with a 'DISPLAY CURRENCY' dropdown menu. The 'STANDARD' section is highlighted with a red box and shows a list of standards with radio buttons for selection. The 'PROJECT TYPE' section below it also has radio buttons for selection. The 'Voluntary Order Book' table on the right lists various orders with columns for Actions, Reference, and Instrument.

Actions	Standard	Project Type
> B S R	ACR-ERT	
> B S R	ACR-ERT	Mining/minera
> B S R	GS-VER	Biogas - Cogel
> B S R	GS-VER	Biogas - Cogel
> B S R	GS-VER	Energy Efficien
> B S R	GS-VER	Landfill Gas
> B S R	GS-VER	Wind
> B S R	VCS-VCU	Emissions
> B S R	VCS-VCU	Energy Deman
> B S R	VCS-VCU	Energy Industr

Actions	Reference	Instrument
✍️ 🚫 🖱️ ↗️	0000003908	GS-VER
✍️ 🚫 🖱️ ↗️	0001710792	GS-VER
✍️ 🚫 🖱️ ↗️	0001730349	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001746685	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001748519	GS-VER
✍️ 🚫 🖱️ ↗️	0001749663	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001790127	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001790131	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001790133	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001798811	VCS-VCU
✍️ 🚫 🖱️ ↗️	0001798812	VCS-VCU

## PROJECT TYPE:

Participants can filter offers on the screen by selecting the radio icons under “Project Type”.

The drop-down menu under each type also expands to allow filtering by specific methodology/technology type.

## VINTAGE:

Participants can filter offers on the screen by selecting the slide bar under “Vintage”.

The Voluntary Market will show all vintage ranges available to trade.

## COUNTRY/REGION:

Participants can filter offers on the screen by selecting the radio icons under “Country”, beginning at a regional level.

The drop-down menu can be expanded to show all countries within a region.

Participants can select all regions, specific regions or specific countries to view credits available via CBL.

The screenshot displays the 'Voluntary Order Book' interface. On the left, a navigation sidebar includes 'Market Overview', 'Compliance', 'REDD+', and 'Voluntary'. The main content area features three filter panels: 'PROJECT TYPE (All)', 'VINTAGE', and 'COUNTRY (All)'. The 'PROJECT TYPE' panel lists categories like Agriculture (11), Alternative Energy (22), Emissions (25), Energy (15), Other (1), Forestry (9), Waste (9), Landfill (2), and Conservation. The 'VINTAGE' panel has 'From' and 'To' input fields and a 'Clear' button. The 'COUNTRY' panel lists regions such as Africa (58), Antarctica (3), Asia (51), Europe (51), Islands (6), Latin America (49), and North America (5). To the right, the 'Voluntary Order Book' table is visible, with tabs for 'Orders' and 'Trade Reports'. The 'Orders' tab shows a table with columns for 'Actions', 'Reference', and 'Instrument'. The 'Actions' column contains icons for edit, delete, and other functions. The 'Reference' column shows numerical values, and the 'Instrument' column shows codes like GS-VE and VCS-A.


Actions	Reference	Instrument
[edit] [delete] [hand] [flag]	0001710792	GS-VE
[edit] [delete] [hand] [flag]	0001730349	VCS-A
[edit] [delete] [hand] [flag]	0001746685	VCS-A
[edit] [delete] [hand] [flag]	0001748519	GS-VE
[edit] [delete] [hand] [flag]	0001790127	VCS-A
[edit] [delete] [hand] [flag]	0001790131	VCS-A
[edit] [delete] [hand] [flag]	0001790133	VCS-A
[edit] [delete] [hand] [flag]	0001798811	VCS-A
[edit] [delete] [hand] [flag]	0001798812	VCS-A
[edit] [delete] [hand] [flag]	0001798813	VCS-A

# PROJECT DETAILS:

To learn more about each project, click the **Project ID** buttons in the Project column.

Voluntary Order Book				Search						
Actions		Product			Sell					
Standard	Project Type	Country	Ask	Ask Qty	Ask Sum	Lift	S Project	S Vintage		
▼ B S R	ACR-ERT	Other	United States	USD-VCM 4.75	457	457		2005-2005		
				USD-VCM 4.75	↑ 457	457	L	157		
> B S R	GS-VER	Biogas - Cogeneration	China	USD-VCM 7.50	10,810	38,942		2017-2019		
▼ B S R	GS-VER	Wind	Turkey	USD-VCM 4.75	21,064	28,379		2015-2015		
				USD-VCM 4.75	21,064	21,064	L	GS2682		
				USD-VCM 4.75	7,315	28,379	L	GS436		
▼ B S R	VCS-VCU	Afforestation and reforestation		USD-VCM 4.00	5,000	5,000		2017-2017		
				USD-VCM 4.00	5,000	5,000	L	2458		
▼ B S R	VCS-VCU	Emissions	China	USD-VCM 2.00	20,000	24,985		2008-2008		
				USD-VCM 2.00	↑ 20,000	20,000	L	439		
				USD-VCM 4.10	↑ 4,985	24,985	L	439		
▼ B S R	VCS-VCU	Energy Demand	Canada	USD-VCM 15.00	10,000	10,000		2016-2016		
				USD-VCM 15.00	10,000	10,000	L	929		
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources		USD-VCM 2.00	2,500	7,500		2015-2020		

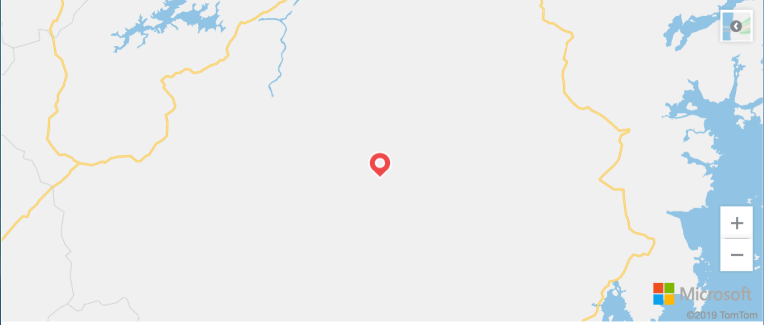
Clicking on the **Project ID** will direct you to the registry project page and provide project information, project validation documents, and details on issuance.


Standards for a Sustainable Future

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Home / Verified Carbon Standard / Project 439

### YINGPENG HFC23 DECOMPOSITION PROJECT



The purpose of this proposed project is to collect all of the waste stream of HFC23 from HCFC22 production process, and decompose it almost completely by an incinerator to be installed in Yingpeng Chemical Co., Ltd. HFC23 will be decomposed to carbon dioxide (CO2), hydrogen chloride (HCl), and hydrogen fluoride (HF) etc., with high temperature and low pH value, and be further processed by the cooling and de-acid process before being emitted to the atmosphere.

#### PROJECT SUMMARY

**ID**  
439

**State/Province**  
Zhejiang Province

**VCS**

**Proponent**  
Yingpeng Chemical Co., Ltd.  
Kota, India

**VCS Project Status**  
Registered  
[View Issuance Records](#)

**Estimated Annual Emission Reductions**  
7865277

**VCS Project Type**  
Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride

**VCS Methodology**  
AM0001

## View Preferences:

Click **Expand All** (Collapse All) in the Voluntary Order Book to view the depth of book. Alternatively, you can click on single rows of interest within the Order Book to expand or collapse the data.

Click the **three dots** in the upper right of any widget or page to customize your view.

Voluntary Order Book				Search										Collapse All	⋮
Product				Sell						Buy					
Actions	Standard	Project Type	Country	Ask	Ask Qty	Lift	Ask Sum	S Project	S Vintage	B Vintage	B Project	B Info	Bid Sum		
▼	B S R	ACR-ERT	Mining/mineral production	United States	USD-VCM 4.95	148,475	298,407		2019-2019						
				USD-VCM 4.95	148,475	L	148,475	556	2019						
				USD-VCM 5.45	45,874	L	194,349	551	2019						
				USD-VCM 5.50	99,766	L	294,115	598	2019						
				USD-VCM 6.85	4,292	L	298,407	556	2019						
▼	B S R	CCS-COU	AFOLU	Colombia	USD-VCM 4.00	10,307	51,084		2017-2020						
				USD-VCM 4.00	10,307	L	10,307	59	2017						
				USD-VCM 4.75	17,692	L	27,999	68	2018						
				USD-VCM 5.10	10,000	L	37,999	55	2019						
				USD-VCM 5.15	13,085	L	51,084	55	2020						
▼	B S R	GS-VER	Biogas - Cogeneration	China	USD-VCM 7.45	9,000	38,942		2017-2019						
				USD-VCM 7.45	9,000	L	9,000	GS2664	2017						
				USD-VCM 7.50	10,810	L	19,810	GS2644	2019						
				USD-VCM 8.00	6,000	L	25,810	GS2665	2018						
				USD-VCM 8.30	13,132	L	38,942	GS2665	2017						
▼	B S R	GS-VER	Energy Efficiency	Bangladesh	USD-VCM 8.50	14,658	19,462		2021-2022						
				USD-VCM 8.50	14,658	L	14,658	GS11152	2022						
				USD-VCM 8.50	4,804	L	19,462	GS11152	2021						
>	B S R	GS-VER	Energy Efficiency	Nigeria	USD-VCM 10.50	18,893	47,904		2020-2021						
>	B S R	GS-VER	Energy Efficiency	Rwanda	USD-VCM 9.50	29,667	34,877		2021-2022						

User placing orders can differentiate their orders in the order book by the **'Person'** icon.

Voluntary Order Book				Search										Collapse All	⋮		
Product				Buy						Sell							
Actions	Standard	Project Type	Country	Province	B Vintage	B Project	B Info	Hit	Bid Sum	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	S Proj	
>	B S R	ACR-ERT	Industrial Process Emissions	United States								USD-VCM 3.60	50,000		241,984		
>	B S R	ACR-ERT	Mining/mineral production	United States								USD-VCM 4.95	148,475		298,407		
>	B S R	CCS-COU	AFOLU	Colombia								USD-VCM 4.00	10,307		51,084		
>	B S R	GS-VER	Biogas - Cogeneration	China								USD-VCM 7.45	9,000		38,942		
>	B S R	GS-VER	Energy Efficiency	Bangladesh								USD-VCM 8.50	14,658		19,462		
▼	B S R	GS-VER	Energy Efficiency	Nigeria	2020-2020				350	200	⊙	USD-VCM 29.99	USD-VCM 10.50		18,893	47,904	
				2020	875		H		200	200	⊙	USD-VCM 29.99	USD-VCM 10.50	18,893	L	18,893	GS731
				2020	875				350	150	⊙	USD-VCM 19.99	USD-VCM 11.00	29,011	L	47,904	GS731
>	B S R	GS-VER	Energy Efficiency	Rwanda								USD-VCM 9.50	29,667		34,877		

Orders with an **H** designation are Firm Bids and can be Hit to execute. If there is no **H** available next to the order, it is an indicative quote only, a non-binding bid.

Voluntary Order Book				Search										Collapse All	⋮	Track	Tick
Product				Buy						Sell							
Actions	Standard	Project Type	Country	Province	B Vintage	B Project	B Info	Hit	Bid Sum	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	S Proj	
>	B S R	ACR-ERT	Industrial Process Emissions	United States								USD-VCM 3.60	50,000		241,984		
>	B S R	ACR-ERT	Mining/mineral production	United States								USD-VCM 4.95	148,475		298,407		
>	B S R	CCS-COU	AFOLU	Colombia								USD-VCM 4.00	10,307		51,084		
>	B S R	GS-VER	Biogas - Cogeneration	China								USD-VCM 7.45	9,000		38,942		
>	B S R	GS-VER	Energy Efficiency	Bangladesh								USD-VCM 8.50	14,658		19,462		
▼	B S R	GS-VER	Energy Efficiency	Nigeria	2020-2020				350	200		USD-VCM 29.99	USD-VCM 10.50		18,893	47,904	
				2020	875			H	200	200		USD-VCM 29.99	USD-VCM 10.50	18,893	L	18,893	GS731
				2020	875				350	150		USD-VCM 19.99	USD-VCM 11.00	29,011	L	47,904	GS731
>	B S R	GS-VER	Energy Efficiency	Rwanda								USD-VCM 9.50	29,667		34,877		

# SIP AND COMPLIANCE WORKSPACES:

Click **Expand All** (Collapse All) to view the depth of book.

Click the **three dots** in the upper right of any widget or page to customize your view.

Actions	Ticker	Last	Change	Change %	Bid Total	Bid Sum	Hit	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sur
▼	CGEO-SIP				7,000	1,000	H	1,000	1.97	2.16	2,000	L	2,00
						3,000		2,000	1.80	2.35	1,000		3,00
						6,000		3,000	1.50	2.55	5,000		8,00
										2.75	10,300		18,30
										4.30	1,000		19,30
>	CGEO-TR-SIP				1,800	1,800	H	1,800	1.50	1.95	2,000	L	2,00
▼	GEO-SIP				8,000	3,000	H	3,000	3.90	4.08	2,000	L	2,00
						5,000		2,000	3.50	4.35	2,000		4,00
						8,000		3,000	3.10	4.50	10,000		14,00
										5.88	200,000		214,00
										8.10	1,000		215,00
										8.20	1,000		216,00
										8.30	1,000		217,00

By hovering over each field option, you will see an explanation of what that column provides.

Actions	Market	Instrument	Bid Sum	Hit	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	Last
>	Compliance	S02GP1v18	5,000	H	5,000	3.00	3.30	2,000	L	2,000	
>	Compliance	S02GP2v18					2.00	15	L	15	
>	Compliance	MD2v19					5.00	453	L	453	
>	Compliance	NJSRV20	100	H	100	220.00					
>	Compliance	MDSRV20	25	H	25	58.00					
>	Compliance	MD1v20	500	H	500	21.75					
>	Compliance	DCSRV20	25	H	25	300.00	385.00	2	L	2	
>	Compliance	TXv20	5,000	H	5,000	0.90					
>	Compliance	NYexHYDv20					2.00	459	L	459	
>	Compliance	NYexWNDv18					1.00	255	L	255	
>	Compliance	NYexHYDv19					2.50	10,002	L	10,002	
>	Compliance	NYexHYDv17					1.00	9,700	L	9,700	
>	Compliance	TXCRSNDv20bh	5,000	H	5,000	0.90	4.25	2,395	L	2,395	
>	Compliance	NJ1v21	500	H	500	21.50					

## CBL HOLDINGS:

You can view your Market Inventory by navigating to **Holdings > Holdings**. Filter your holdings in the upper right by toggling between the **All**, **Products**, or **Cash** buttons.

You can further filter by right clicking over **Instrument**, enter your preferred criteria, and click Apply.

Click **Export** in the upper right of any page to download your data in csv format.

The screenshot shows the 'Holdings' page with a table of instruments. A filter dropdown is open over the 'Instrument' column, showing options for 'Exactly' and 'Contains'. The table columns include 'Balance', 'Available', 'Approved Deposit', 'Approved Withdrawal', 'Pending Deposit', 'Pending Withdrawal', 'Planned In', 'Planned Out', 'Actual In', and 'Actual Out'.

Instrument	Open Balance	Balance	Available	Approved Deposit	Approved Withdrawal	Pending Deposit	Pending Withdrawal	Planned In	Planned Out	Actual In	Actual Out
		201,391.77	642,239.27					62,825.00	38,600.00		
		71,017	61,017					5,000	10,000		
		31,971	31,971					2,000			
		25,398	25,398					1,800			
		14,888.50	14,888.50					1,099.00			
TIWGA	17,500	17,500	7,500						10,000		
13MIA	3,460.00	3,460.00	3,460.00								
CGEO	3,000	3,000	3,000					1,000			
VW21	1,174	1,174	1,174	2,348	1,174						
7LMW	1,039.7	1,039.7	1,039.7								
1A	907.00	907.00	907.00								
13	750.00	750.00	750.00								
MD2V21	1,174	574	574	2,348	1,174					600	
VW19	525	525	525	1,050	525						
LACH-US-STD	500.00	500.00	500.00								
LACH-US-GST	500.00	500.00	500.00								
LACH-US-TAKE	500.00	500.00	500.00								
LACH-US-HOLD	500.00	500.00	500.00								
11	500.00	500.00	500.00								
NARUSHYDv20	1,500	500	500	3,000	1,500					1,000	
OHNSV21	496	346	346	992	496					150	

## CBL HOLDINGS TRANSACTIONS:

You can view Holding Transaction by navigating to **Holdings > Holdings Transactions**. Filter your holdings in the upper right by toggling between the **All**, **Products**, or **Cash** buttons.

You can further filter by right clicking over **Created** and/or **Instrument**, enter your preferred criteria, and click Apply.

The screenshot shows the 'Holdings Transactions' page with a table of transactions. The table columns include 'Id', 'Created', 'Firm', 'Account', 'Type', 'Instrument', 'Project ID', 'Vintage', 'Quantity', 'Holding Transaction Status', and 'Updated By'.

Id	Created	Firm	Account	Type	Instrument	Project ID	Vintage	Quantity	Holding Transaction Status	Updated By
3029688	2022-08-31			Deposit	NARUSHYDv20			1,500	Confirmed	
3029668	2022-08-31			Set	NJSRV22			5	Confirmed	
3029658	2022-08-31			Withdraw	NARUSHYDv20			1,500	Requested	
3029131	2022-08-30			Withdraw	USD			60,000.00	Confirmed	
3028491	2022-08-30			Deposit	NARUSHYDv20			1,500	Confirmed	
3028461	2022-08-30			Withdraw	NARUSHYDv20			1,500	Requested	
3027146	2022-08-29			Deposit	NARUSHYDv20			1,500	Confirmed	
3027122	2022-08-29			Withdraw	NARUSHYDv20			1,500	Requested	
3026521	2022-08-29			Withdraw	USD			60,000.00	Confirmed	
3025884	2022-08-26			Deposit	NARUSHYDv20			1,500	Confirmed	
3025856	2022-08-26			Withdraw	NARUSHYDv20			1,500	Requested	
3024592	2022-08-25			Deposit	NARUSHYDv20			1,500	Confirmed	
3024562	2022-08-25			Withdraw	NARUSHYDv20			1,500	Requested	
3023167	2022-08-24			Deposit	NARUSHYDv20			1,500	Confirmed	
3023133	2022-08-24			Withdraw	NARUSHYDv20			1,500	Requested	
3021939	2022-08-23			Deposit	NARUSHYDv20			1,500	Confirmed	
3021909	2022-08-23			Withdraw	NARUSHYDv20			1,500	Requested	
3020669	2022-08-22			Deposit	NARUSHYDv20			1,500	Confirmed	
3020624	2022-08-22			Withdraw	NARUSHYDv20			1,500	Requested	
3019391	2022-08-19			Deposit	NARUSHYDv20			1,500	Confirmed	
3019337	2022-08-19			Withdraw	NARUSHYDv20			1,500	Requested	

# Custom Workspaces

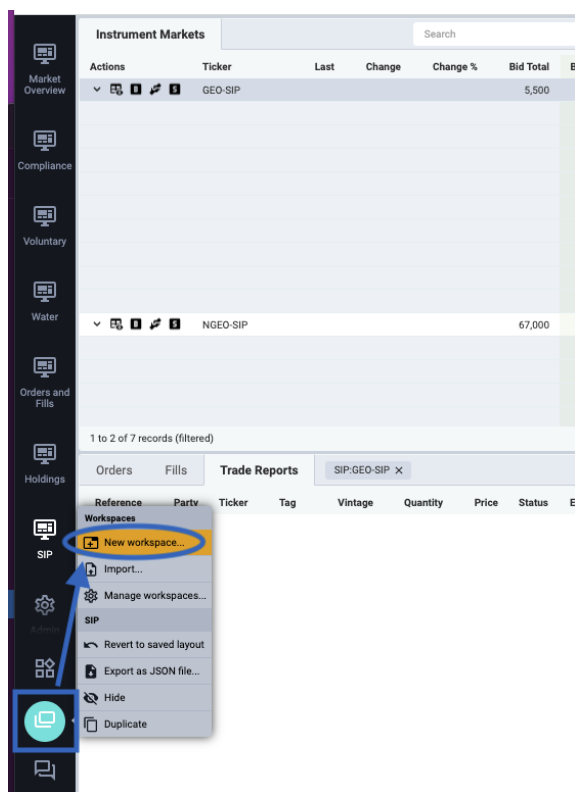
The Xpansiv CBL Platform enables users to create custom workspaces. Custom workspaces allow users to modify their pages by adding and arranging widgets in a custom location from any market screen in a workspace.

To create a custom workspace users can start with a pre-existing market template, a blank custom workspace, or make a copy of one of the primary workspaces and edit from there.

**NOTE:** Whenever changes are made to a workspace, such as adding widgets, changing filters, etc. those changes must be saved for the changes to persist past the login session. To learn how to save changes, see Saving Workspaces. Any changes not saved, will be lost upon user logout.

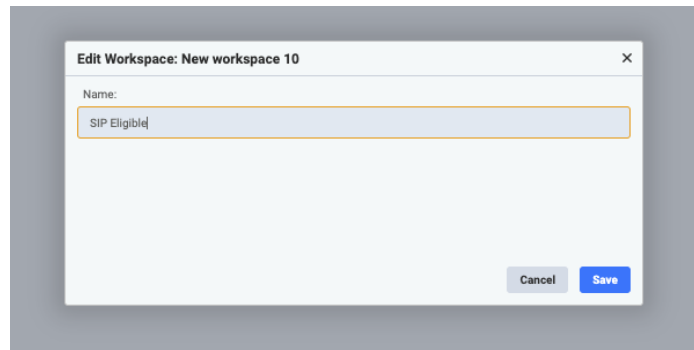
## Blank Custom Workspace

To create a brand new blank custom workspace, begin by clicking the **Workspace** icon on the left side toolbar, then click **New Workspace**.

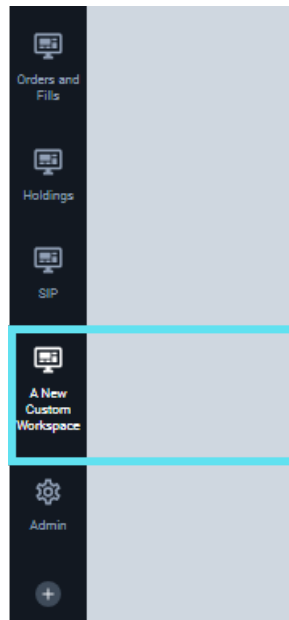




Name your **Custom Workspace**, then click **Save**.

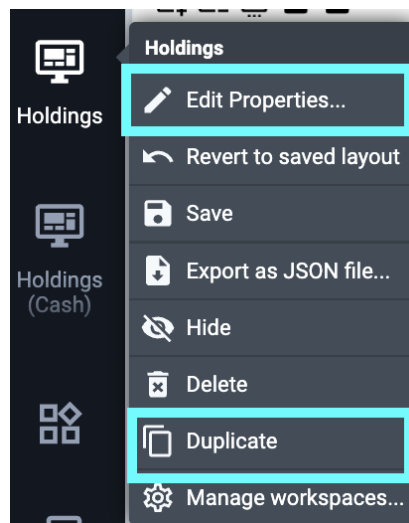


The workspace will now be available on the left hand navigation bar, underneath the existing workspaces. To change the order of the workspace, click and drag it to the desired location on the navigation bar.



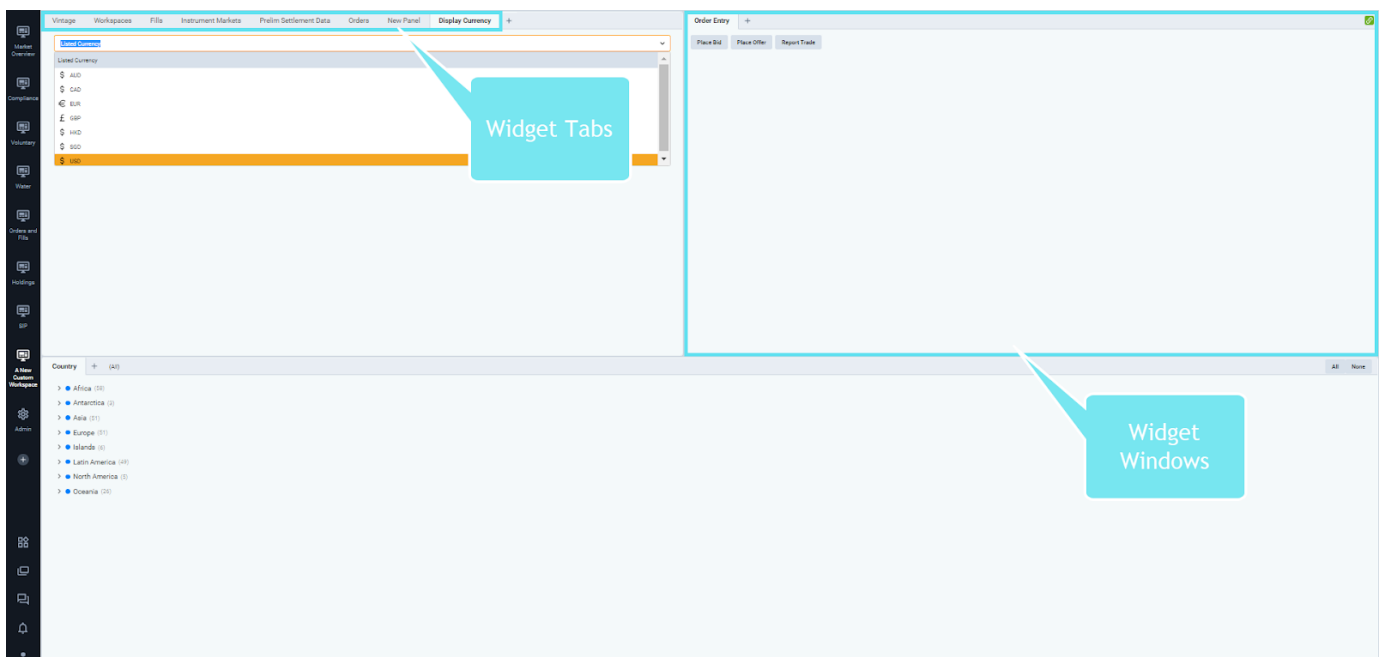
## Create a copy of an Existing Workspace

Alternatively, you can duplicate an existing **Workspace**. Right click the desired workspace, then select "Duplicate" and a copy of the desired **Workspace** will be available in your **Tool Bar** that can be customized to a user's preferences. You can change the **Workspace** name by double clicking the icon or right clicking and selecting "Edit Properties".



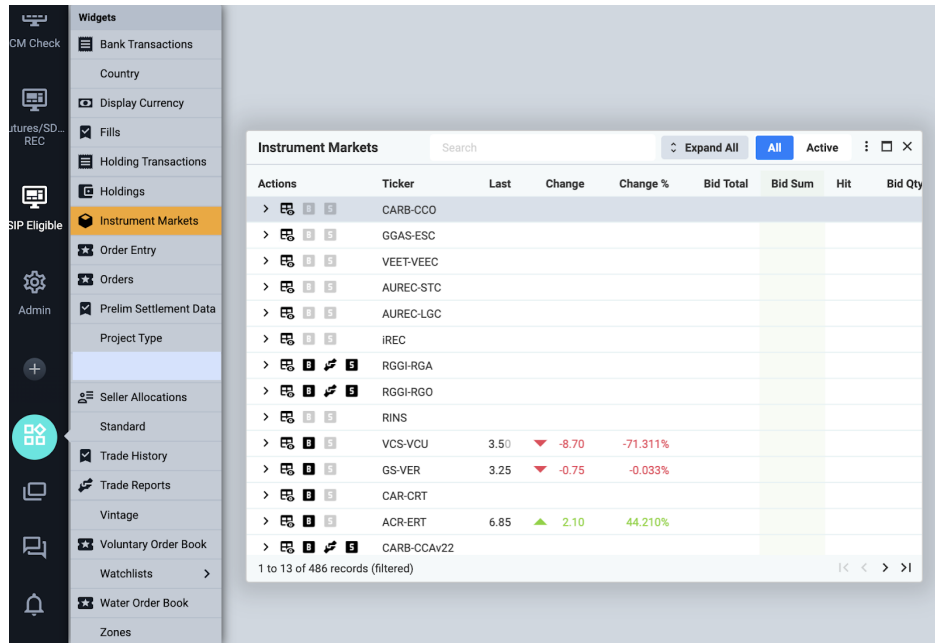
## Add Widgets to a Workspace

Widgets can be tabbed into a window and/or placed adjacent to other widget windows so they can be viewed side by side.



To add a new widget to a space, navigate to your newly created **Workspace** on the left.

Click the **Widgets** icon and select from the available widgets to create your custom layout.



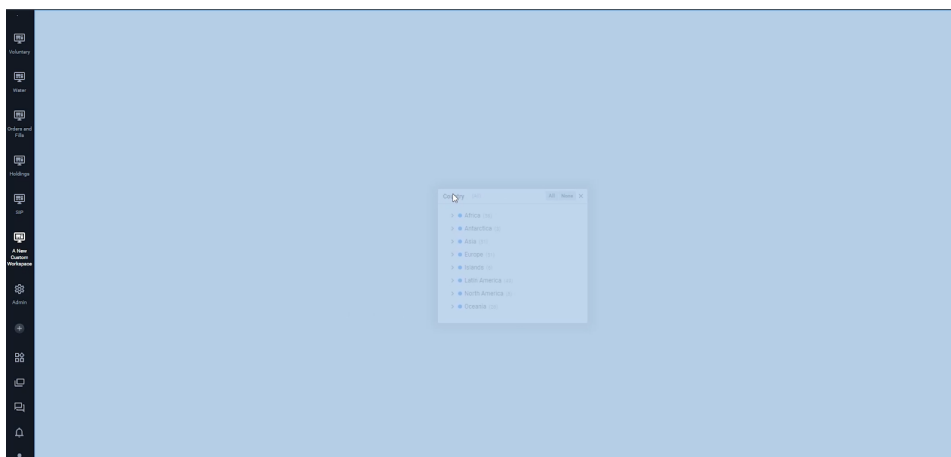
After selecting the **Widgets** you want on your **Custom Workspace**, you can arrange your view of them on the screen. **Note:** although you can drag and drop the widgets anywhere on the screen, they must be docked in order to save to your layout. The next steps will walk you through how to dock the widgets to the Workspace.

### Docking Widgets to a Workspace:

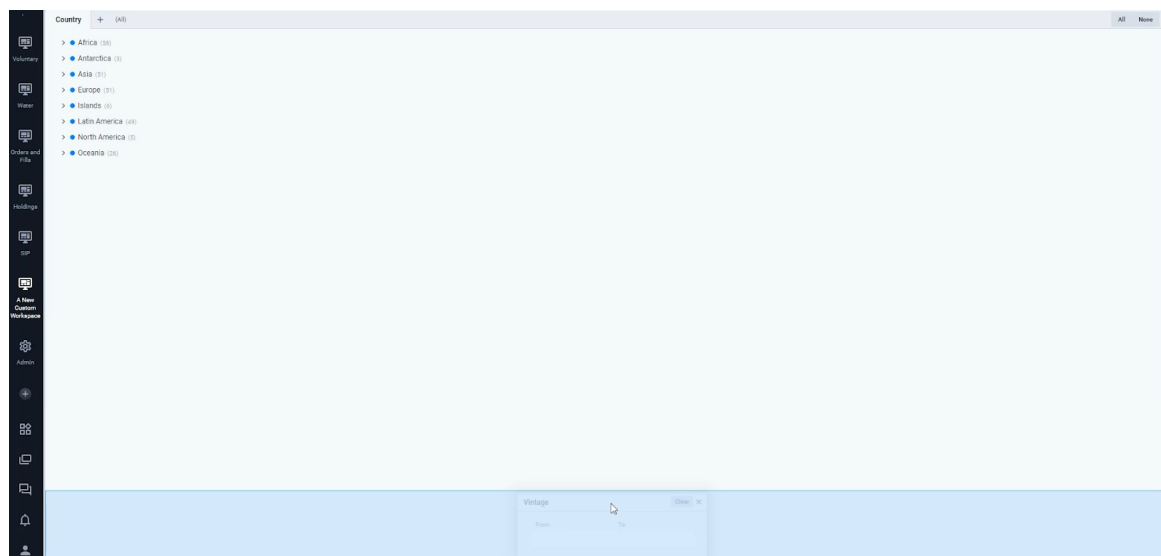
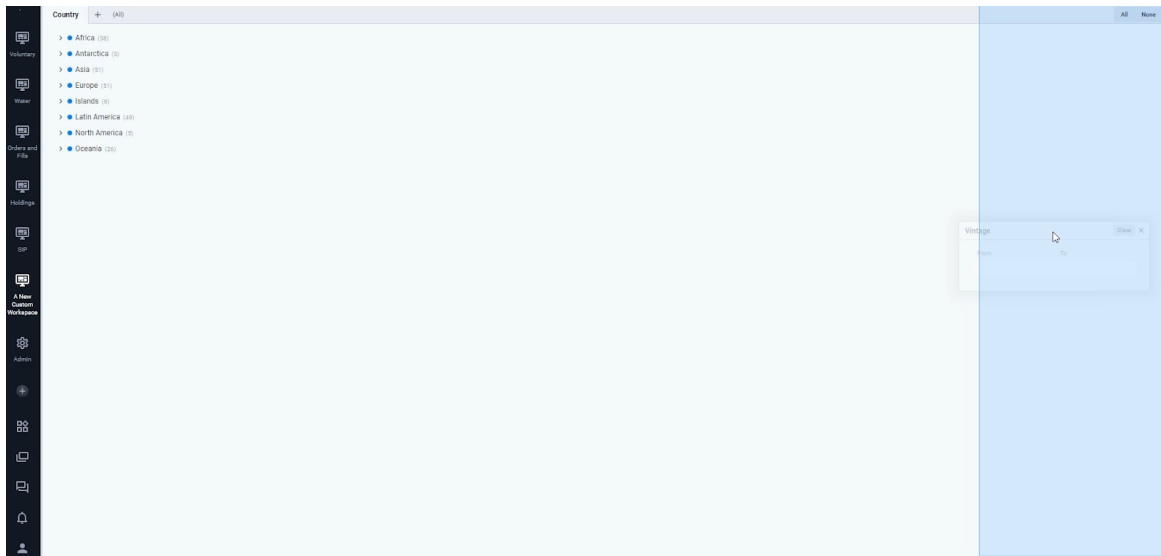
First, click the top bar of the **Widget** you want to move and hold it (just as if you were going to drag and drop something) and hold down the shift key on the keyboard.

**Note:** You can always drag and drop widgets around the space. However, they will not dock into the workspace until you hold shift while dragging and dropping your widget.

If you have only one **Widget** you want on your custom workspace, a blue tint will fill the screen.



If you have multiple widgets, once selected a blue tinted bar will appear in the area you hover over with your mouse to indicate a location to dock the new widget.



Drag and drop within the workspace depending on where you want to place your **Widget**.

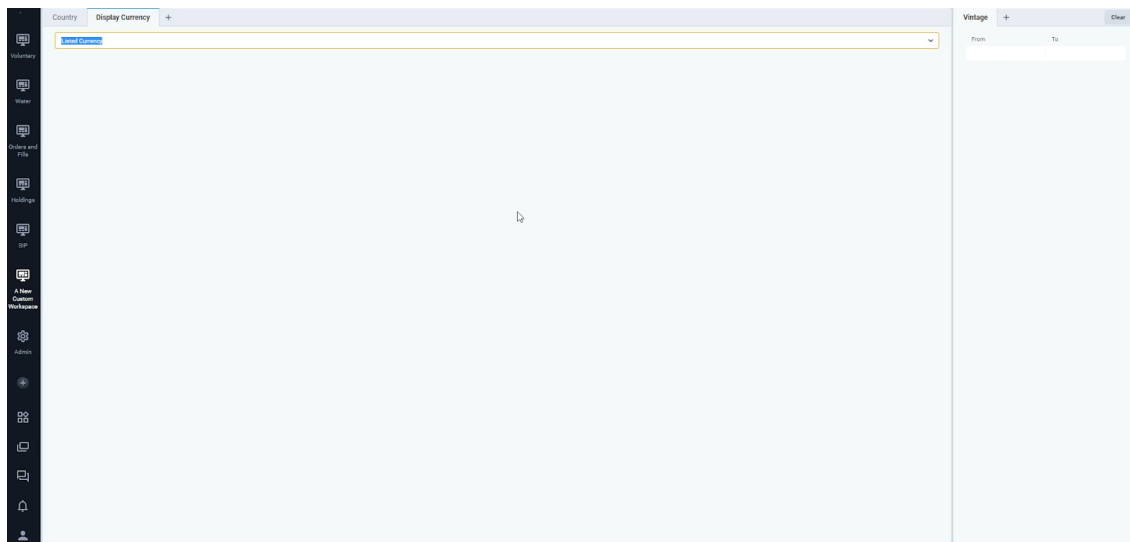
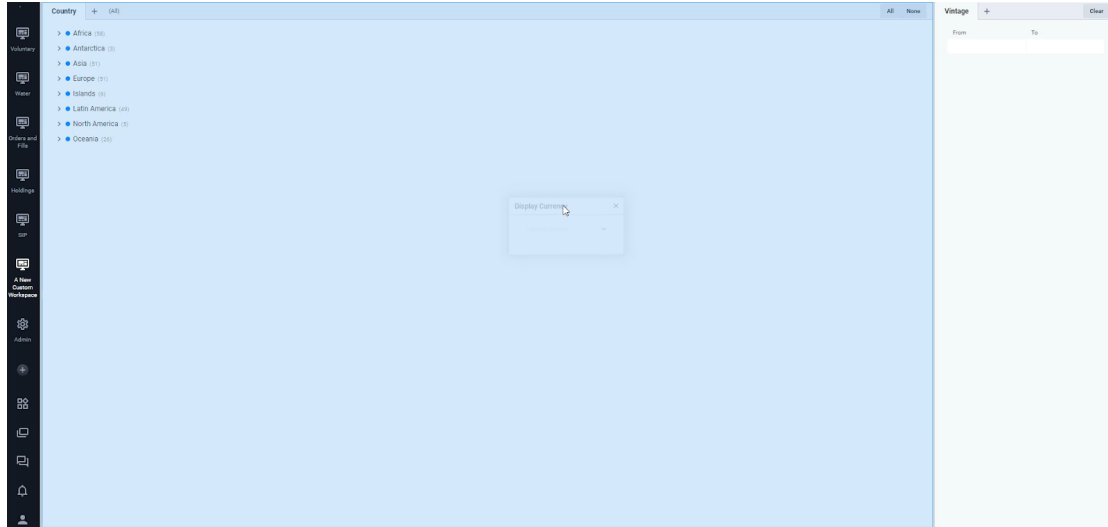
**Note:** Depending on your layout and where your mouse is located on the screen, different areas of the workspace will highlight blue, indicating a valid location to drop the widget.

When ready, release the widget by letting go of the mouse button and it will dock in place within your new workspace. After it's docked into the space, release the shift key.

Remember to **Save** your work, by right clicking the Workspace Name on the left hand navigation bar and selecting Save.

## Tabbing Widgets:

It's possible to tab multiple widgets in the same widget window. To do so, drag the widget to the middle of an existing widget window, note that the existing widget window will turn blue, indicating that you can drop it into place.



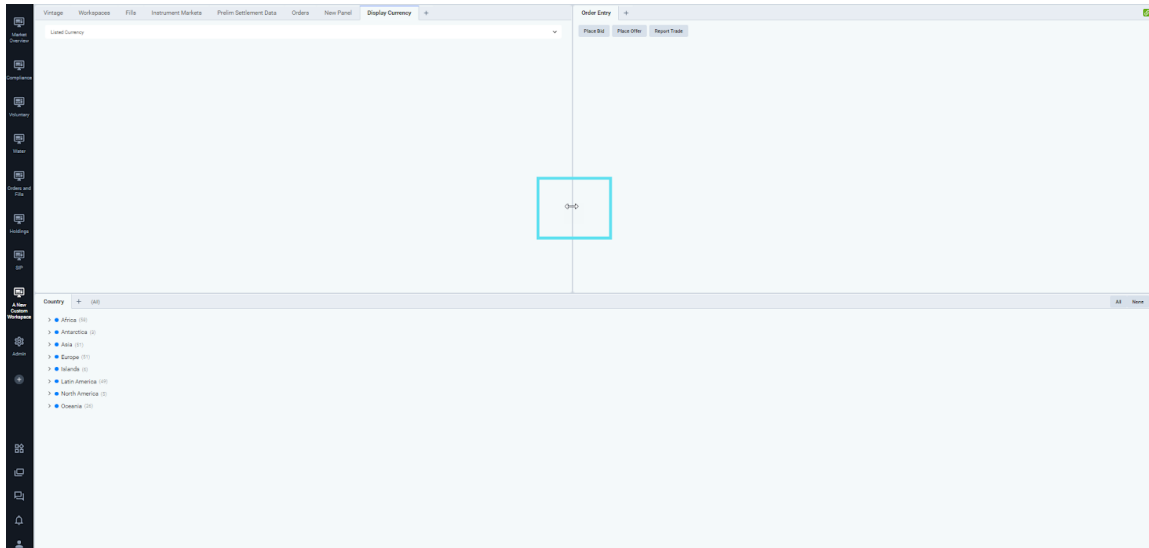
When ready, release the widget by letting go of the mouse button and it will dock in place within your new workspace.

Alternatively, you can also click the plus sign on any widget window to select a widget to add to that window.

Once the widgets have been placed in their desired location. Remember to **Save** the configuration by right clicking on the workspace name on the left hand side of the screen and selecting **Save**.

## Adjusting Widget Window Sizes:

Docked Widget Windows Height and Width can be adjusted to fit a space. To do so, hover your mouse between two widget windows until a two sided arrow icon replaces your normal mouse icon.

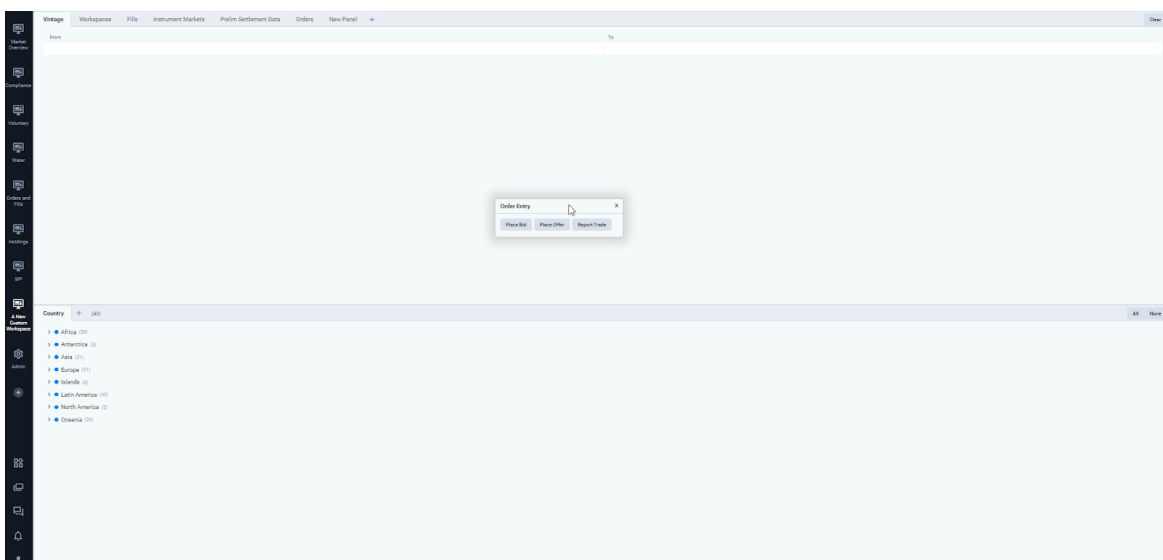


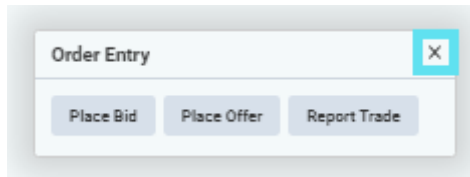
Then drag and release when the desired widget window size has been accomplished.

Remember to **Save** the configuration by right clicking on the workspace name on the left hand side of the screen and selecting **Save**.

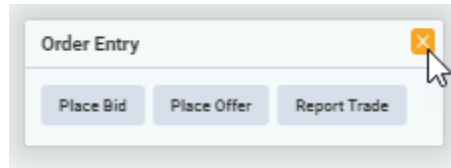
## Removing Widgets from a Widget Window or Workspace:

To remove a widget window, click on the tab or widget window you'd like to remove, drag the widget tab or window towards the center of the screen. The widget should now be undocked and an 'X' icon should be visible on the top right hand side of the widget.





Click on the 'X' icon to remove the widget.

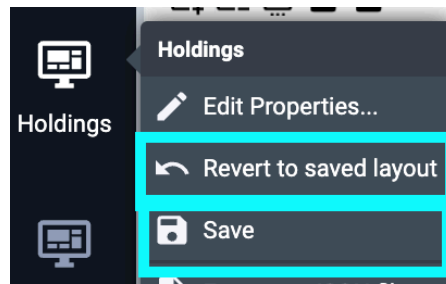


Remember to **Save** the configuration by right clicking on the workspace name on the left hand side of the screen and selecting **Save**.

## Saving Workspaces

After creating a custom **Workspace** or modifying an existing one, it is important to **save the changes** you have made so you do not lose them upon log out.

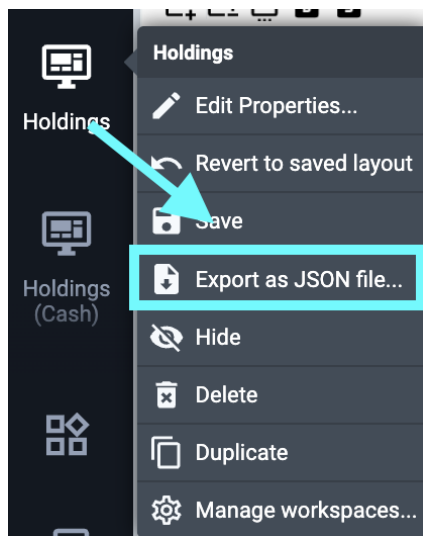
To save a **Workspace**, right click its icon and select "**Save**". Alternatively, you can revert changes to a **Workspace** by selecting "Revert to saved layout"; this will restore the previously saved view.



## Exporting a workspace: Exporting JSON Files

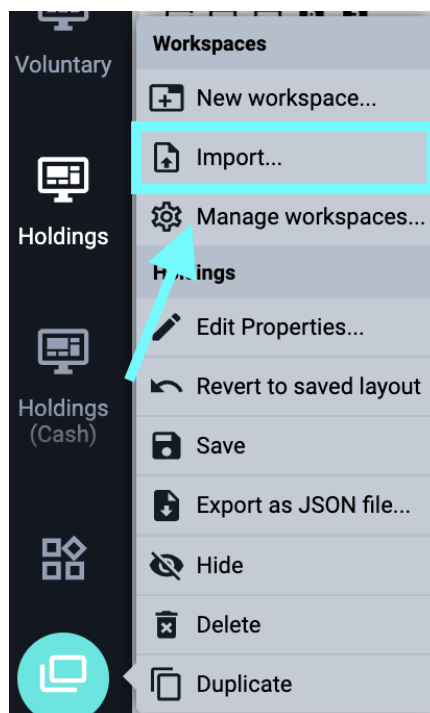
You can export your custom **Workspace** as a **JSON** file. A **JSON** file is a saved workspace layout from the Platform; these files can be shared with colleagues and/or stored for later use.

When right clicking the desired **Workspace**, select "Export as a JSON file" and a file will download to your computer.



## Importing a workspace: Importing JSON Files

A user can import a shared **JSON** file by clicking the **Workspace** icon on your toolbar and select "Import". Once the **JSON** file is selected for import, the **Workspace** will appear in the left toolbar.

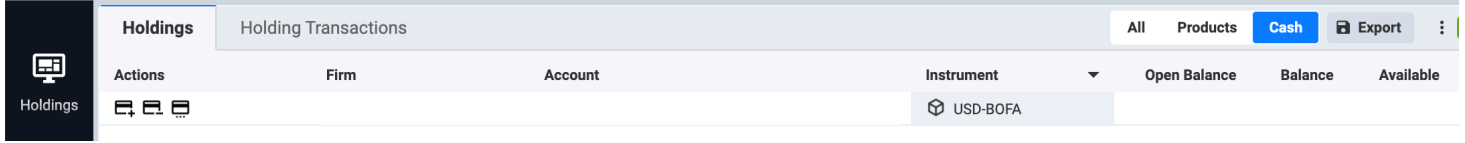




# Entering Orders on CBL

## INITIATING A BUY

A buyer must be in-funds, inclusive of applicable fees, to bid on CBL Platform.



### Markets which source funds from USD-BOFA

USD-BOFA is the Participant's dedicated CBL Markets FBO account at Bank of America N.A. (BofA)

- Verra Verified Carbon Standard (VCS)
- Climate Action Reserve (CAR)
- ACR
- Gold Standard (GS)
- CERCarbono (COU)
- International REC Standard (IREC)
- Puro Standard CO<sub>2</sub> Removal Certificate (CORC)
- Standard Instruments Program (SIP)
- US Renewable Energy Certificates (US RECs)
- Compliance Instrument Tracking System Service (CITSS)
- Regional Greenhouse Gas Initiative (RGGI)
- Clean Air Markets Division (CAMD)
- Low Carbon Fuel Standard (LCFS)

A CBL User with cash manager authority can locate the Participant BofA FBO **funding instructions** on CBL Platform. Once logged in to CBL, go to Admin > Bank Accounts. See details for External Account Provider > BOFA.



**BofA Address:** 222 Broadway, New York, NY 10038

**ACH ABA Routing Number:** 111000012

**WIRE ABA Routing Number:** 026009593

**SWIFT:** BOFAUS3N

Alternatively, you can also contact CBL at:

**Client Service** – [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com)

**Market Operations** – [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

**Financial Operations** – [financial-ops@cblmarkets.com](mailto:financial-ops@cblmarkets.com)

**Phone:** +1-833-972-6677

## INITIATING A SELL

**All SIP and Compliance:** after depositing inventory to CBL Market, seller initiates and manages offers directly on CBL Platform; for deposit instructions, refer to [Depositing SIP to CBL](#) and [Depositing US RECs to CBL](#).

**VCS, CAR, ACR, COU, IREC:** after depositing inventory to CBL Market, seller initiates and manages offers directly on CBL Platform; for deposit instructions, refer to [Depositing Voluntary Carbon \(VCM\) to CBL](#).

**Registries Not Integrated to Xpansiv Connect:** refer to [Registries and Instruments Not Integrated to Xpansiv Connect](#).

[Gold Standard](#)

[Puro Registry](#)

[Standard Instruments Program SDGEO](#)

[Compliance Instrument Tracking System Services](#)

[Regional Greenhouse Gas Initiative](#)

[Clean Air Markets Division Business System](#)

[LCFS Reporting Tool – Credit Bank and Transfer System](#)

[Australian National Registry of Emission Units](#)

# SIP and Compliance – Buy or Sell

## ORDER ENTRY:

- In the Order Book, under Actions, select the “B” or “S” button. An **Order Ticket** will open.
- You can enter multiple orders by clicking Multi in upper right corner of the **Order Ticket**.
- Enter your order preferences within the **Order Ticket**.
  - Order Type
  - Quantity
  - All or None
  - Limit Price
  - Expiry
  - Account: your Participant Account
- Alternatively, you can **Hit** or **Lift**.

The screenshot displays the 'Instrument Markets' interface. On the left, a sidebar contains navigation icons for Compliance, Voluntary, SIP, Orders and Fills, Holdings, Water, and REDD+. The main area shows a table of instrument markets with columns for Actions, Market, Instrument, Bid Sum, Hit, Bid Qty, Bid, Ask, Ask Qty, Lift, Ask Sum, Last, and Change. The 'Enter Order' dialog box is open, showing fields for Ticker (Compliance:PJM-PASRV23), Side (Buy/Sell), Type (Limit/Market/FAK/Iceberg), Total Quantity, All or None (Yes/No), Limit Price, Expiry (GFS/Day/GTC/GTD/GTT), and Account. The dialog also includes 'Leave' and 'Place Buy Order' buttons.

Actions	Market	Instrument	Bid Sum	Hit	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum	Last	Change
[B] [S]	Compliance	PASRV23	50	H	50	40.00						
			1,000		950	39.50						
			46,000		45,000	1.00						
	Compliance	PASRV22	25	H	25	39.00						
	Compliance	PA2V23	25,000	H	25,000	13.00						
	Compliance	PA2V21										
	Compliance	PA2Inv22										
	Compliance	PA1v23	100	H	100	23.55						
			1,100		1,000	22.75						
	Compliance	PA1v22	100	H	100	22.40						
	Compliance	PA1v21	100	H	100	21.50						
	Compliance	OHNSv22	3,000	H	3,000	2.00						
	Compliance	OHNSv21										

# Voluntary – Buy or Sell

## ORDER ENTRY:

- In the Voluntary Order Book, under Actions, select the “B” or “S” button. An **Order Ticket** will open.
- You can enter multiple orders by clicking Multi in upper right corner of the **Order Ticket**.
- Enter your order preferences within the **Order Ticket**.
  - Side
  - Project ID, Project Type, and Country
  - Vintage
  - Quantity
  - All or None
  - Limit Price
  - Expiry
  - Account: your Participant Account
- Alternatively, you can **Hit** or **Lift**.

The screenshot displays the 'Voluntary Order Book' interface. On the left, a sidebar contains navigation options: Market Overview, Compliance, Voluntary (highlighted), SIP 2, Orders and Fills 2, Holdings 2, Admin, and VINTAGE. The main area shows the 'Voluntary Order Book' with columns for Actions, Reference, Ticker, and Project ID. A modal window titled 'Enter Order' is open, allowing users to specify details such as Standard (Voluntary-VCS-VCU), Side (Buy/Sell), Project ID, Project Type (AFOLU), Country (Cambodia), Vintage, Total Quantity, All or None, Limit Price, Currency (USD-VCM), Expiry (DAY), Account, Settlement Currency (USD-VCM), and Info. Buttons for 'Place Bid', 'Place Offer', 'Report Trade', 'Enter Order', 'Single', 'Multi', 'Buy', 'Sell', 'Leave', and 'Place Buy Order' are visible.

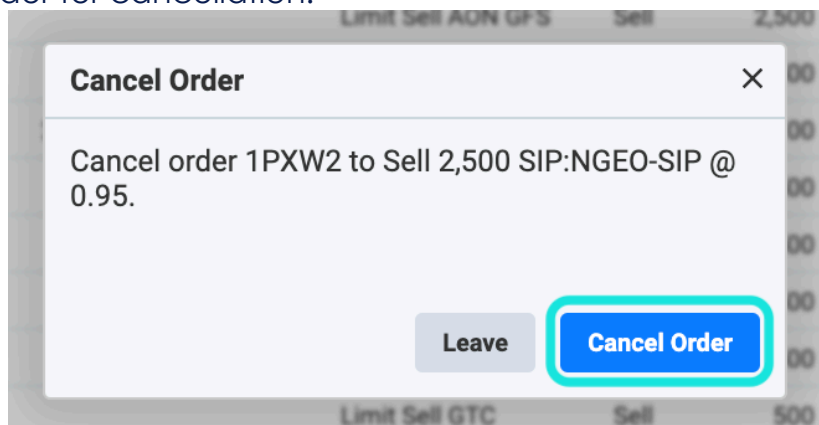
# Amending and Cancelling Orders

From any screen under Orders&Fills > Orders (Compliance, Voluntary, SIP, Orders&Fills):

- To **cancel** an open order:
  - Navigate to the 'No' icon under Actions on the far left. If hovering over it, 'Cancel' will pop up.

Actions	Created	Market	Ticker	Project ID	Vintage From	Vintage To	Type	Side	Qty	Bal	Limit Price	Val	Matched	Status
	Today 15:53:01	SIP	SIP:NGEO-SIP				Limit Sell AON GFS	Sell	2,500	2,500	0.95 USD	2,375.00		Open
	Today 15:52:38	SIP	SIP:NGEO-SIP				Limit Buy GFS	Buy	2,500	2,500	0.75 USD	1,875.00		Open
	Today 15:32:31	Voluntary	Voluntary:CAR-CRT		2010	2023	Quote Buy AON DAY	Buy	100	100	3.00 USD-VCM	300.00		Open
	Today 15:21:03	Compliance	Compliance:NEPOOL-NPLquadv23				Limit Sell GTC	Sell	500	500	39.75 USD	19,875.00		Open
	Today 15:20:50	Compliance	Compliance:NEPOOL-NPLdualv23				Limit Sell GTC	Sell	500	500	39.65 USD	19,825.00		Open
	Today 15:20:35	Compliance	Compliance:NEPOOL-MA1v23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open

- Click the icon and a pop up will appear requesting the user confirm the order for cancellation.



- To **amend** an open order:
  - Navigate to the 'pencil' icon under Actions on the far left. If hovering over it, 'Amend' will pop up.

Actions	Created	Market	Ticker	Project ID	Vintage From	Vintage To	Type	Side	Qty	Bal	Limit Price	Val	Matched	Status
	Today 15:53:01	SIP	SIP:NGEO-SIP				Limit Sell AON GFS	Sell	2,500	2,500	0.95 USD	2,375.00		Open
	Today 15:52:38	SIP	SIP:NGEO-SIP				Limit Buy GFS	Buy	2,500	2,500	0.75 USD	1,875.00		Open
	Today 15:32:31	Voluntary	Voluntary:CAR-CRT		2010	2023	Quote Buy AON DAY	Buy	100	100	3.00 USD-VCM	300.00		Open
	Today 15:21:03	Compliance	Compliance:NEPOOL-NPLquadv23				Limit Sell GTC	Sell	500	500	39.75 USD	19,875.00		Open
	Today 15:20:50	Compliance	Compliance:NEPOOL-NPLdualv23				Limit Sell GTC	Sell	500	500	39.65 USD	19,825.00		Open
	Today 15:20:35	Compliance	Compliance:NEPOOL-MA1v23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open
	Today 15:20:24	Compliance	Compliance:NEPOOL-CT1v23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open
	Today 15:20:14	Compliance	Compliance:NEPOOL-Rlnwv23				Limit Sell GTC	Sell	500	500	39.50 USD	19,750.00		Open

- Click the icon and an order box will appear providing the user the ability to amend certain parameters (e.g., quantity, price, etc.). Fields greyed out are not editable.

- Users have the option to **Hold** an order; this temporarily suspends the order and removes it from the order book without having to cancel it. To **hold** an open order:
  - Navigate to the 'hand' icon under Actions on the far left. If hovering over it, 'Hold' will pop up.

Orders	Fills	Trade Reports	+	Active	Held							
Actions	Created	Market	Ticker	Project ID	Vintage From	Vintage To	Type	Side	Qty	Bal	Limit Price	
	Today 17:25:15	SIP	SIP:NGEO-SIP				Limit Buy GTC	Buy	5,000	5,000	0.83	U:
	Today 17:24:02	SIP	SIP:NGEO-SIP				Limit Sell GTC	Sell	5,000	5,000	0.98	U:
	Today 15:53:01	SIP	SIP:NGEO-SIP				Limit Sell AON GFS	Sell	2,500	2,500	0.95	U:
	Today 15:52:38	SIP	SIP:NGEO-SIP				Limit Buy GFS	Buy	2,500	2,500	0.75	U:
	Today 15:32:31	Voluntary	Voluntary:CAR-CRT		2010	2023	Quote Buy AON DAY	Buy	100	100	3.00	U:
	Today 15:21:03	Compliance	Compliance:NEPOOL-NPLQuadv23				Limit Sell GTC	Sell	500	500	39.75	U:

- Click the icon and a pop up will appear requesting the user confirming to hold the order.

# Lifting an Offer

- Within the Order Book on any screen, click the arrow downward to view the depth of book, and then the "L" button to lift (buy) an offer.
- Selecting "L" means you agree to the offer price listed.
- **Order Ticket** will auto populate with the offer parameters.
- You can lift **partial** Quantity only if you see the up/down arrows next to the Ask Qty.

**Voluntary Order Book** Collapse All Search

Actions	Product			Buy					Sell				
	Standard	Project Type	Country	B Vintage	B Project	B Info	Bid Sum	Bid Qty	Bid	Ask	Ask Qty	Lift	Ask Sum
> B S R	ACR-ERT	Mining/mineral production	United States						USD-VCM	7.65	150,000		250,000
> B S R	ACR-ERT	Other	United States						USD-VCM	4.75	457		457
> B S R	GS-VER	Biogas - Cogeneration	Bulgaria						USD-VCM	7.00	23,987		23,987
> B S R	GS-VER	Biogas - Cogeneration	China						USD-VCM	7.50	10,810		38,942
> B S R	GS-VER	Landfill Gas	Turkey						USD-VCM	5.50	12,318		37,728
> B S R	GS-VER	Wind	Turkey						USD-VCM	5.25	21,064		28,406
> B S R	VCS-VCU	Emissions	China						USD-VCM	4.10	4,985		4,985
> B S R	VCS-VCU	Energy Demand	Canada						USD-VCM	15.00	10,000		10,000
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Brazil						USD-VCM	6.85	50,000		55,000
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	China						USD-VCM	2.50	1,000		300,739
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Côte d'Ivoire						USD-VCM	5.80	100,000		150,543
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	India						USD-VCM	3.50	20,000		371,721
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Indonesia						USD-VCM	5.85	21,757		21,757
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Tajikistan						USD-VCM	6.15	25,378		52,552
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Turkey						USD-VCM	3.10	68,126		349,871
> B S R	VCS-VCU	Energy Industries - renewable/non-renewable sources	Uganda						USD-VCM	5.90	20,005		20,005
> B S R	VCS-VCU	Forest Carbon	Brazil						USD-VCM	8.00	33,732		285,961
> B S R	VCS-VCU	Forest Carbon	Cambodia						USD-VCM	10.25	3,300		43,148
⌵ B S R	VCS-VCU	Forest Carbon	China						USD-VCM	8.90	20,000	⌵	20,391
									USD-VCM	8.90	20,000	⌵	20,000
									USD-VCM	9.25	107	⌵	20,107
									USD-VCM	11.50	184	⌵	20,291
									USD-VCM	13.20	100	⌵	20,391

**Lift Offer 0001935424** [Close]

Reference: 0001935424

Standard: Voluntary/VCS-VCU

Side: Buy Sell

Project ID: 2507

Quantity: 20000 VCS-VCU

Limit Price: 8.90 USD-VCM

Settlement Currency: USD-VCM

Leave Lift

# Hitting a Bid

- Within the Order Book on any screen, click the arrow downward to view the depth of book, and then the "H" button to hit (sell) a bid.
- Selecting "H" means you agree to the bid price listed.
- **Order Ticket** will auto populate with the bid parameters.
- You can lift **partial** Quantity only if you see the up/down arrows next to the Bid Qty.
- If there is no "H" button present, it is an indicative bid and cannot be hit.

The screenshot shows a 'Voluntary Order Book' interface. On the left, a table lists various bids with columns for Actions (B, S, R), Standard, Project Type, Country, and USD-VCM. A blue circle highlights the 'B' action for a bid from VCS-VCU, AFOLU, Brazil. A modal window titled 'Hit Bid 1PXVQ' is open, showing details for Reference: 1PXVQ, Standard: Voluntary-VCS-VCU, Side: Sell, Project ID: 1115, Project Type: AFOLU, Country: Brazil, Vintage: 2018, Quantity: 6320 VCS-VCU, and Limit Price: 1.05 USD-VCM. The modal has 'Leave' and 'Hit' buttons. On the right, a table shows bid details with columns for S Vintage, B Vintage, B Project, Hit, Bid Sum, Bid Qty, and Bid. A blue square highlights a bid with Bid Qty 5,500 and Bid Sum 5,500. A red arrow points to this bid with the text 'No "H" = Indicative Bid'. Another blue circle highlights the 'H' button in the 'Hit' column for a bid with Bid Qty 6,320 and Bid Sum 6,320.



# Xpansiv Connect Portfolio Access

## PORTFOLIO ACCESS IN XPANSIV CONNECT

Consolidated view of your portfolio of assets across multiple standards/registries and offset types in a single account.

Monitor your account through extensive reporting and valuation capabilities.

- Tax & Compliance
- Accounting & Transaction
- Audit Trail

AN XPANSIV SOLUTION

Home Portfolio Transact Projects My EMA CBL

### Xpansiv Portfolio Access: Positions

Spot Inventory Forward Deals Analysis Retired Positions

**Spot Positions**

Filter Positions by:

-Set Primary Filter -Set Secondary Filter -Set Tertiary Filter -Set Quaternary Filter Filter Clear New Batch

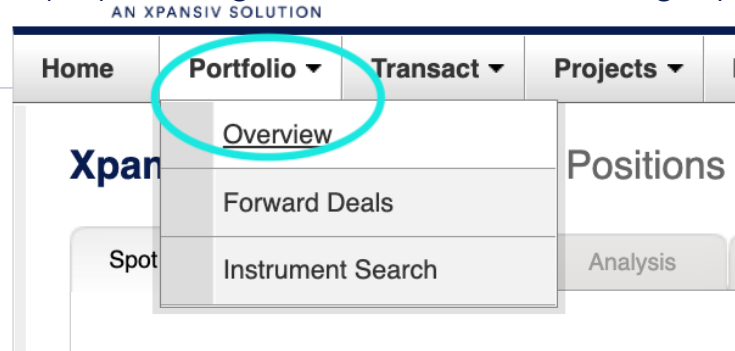
Expand - Collapse Aggregate

<< first < prev 1 2 next > last >>

Instrument	Project Name	Reg Assgn ID	Vintage	Program	Qty	± Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
VCU-20190101-20191031-EE-T...	THEPARAK WIND IN THAILAND	2002	2019	VCS	0					
Tax Lots	Counterparty (CP)		Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	
ERT-20030101-20031231-TRP_...	Truck Stop Electrification, Georg...	ACR136	2003	ACR	943					
Tax Lots	Counterparty (CP)		Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	
03Feb22-Lot1	CBL Markets Settlement		Feb 03 2022	4.50	943					
VCU-20120101-20120630-EE-IN...	Natural Gas Based Combined Cycle...	837	2012	VCS	0					
Tax Lots	Counterparty (CP)		Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	

# Portfolio Inventory

Portfolio > Overview displays holdings associated with linked registry accounts.



- View instrument holdings and quantities under the **Spot Inventory** tab. Click on **Instrument** hyperlink for additional details.

Spot Inventory | Forward Deals | Analysis | Retired Positions

**Spot Positions**

Filter Positions by: [-Set Primary Filter-] [-Set Secondary Filter-] [-Set Tertiary Filter-] [-Set Quaternary Filter-] [Filter] [Clear] [New Batch]

Expand - Collapse | Aggregate

<< first < prev 1 2 3 next > last >>

	Instrument	Project Name	Reg Assgn ID	Vintage	Program	Qty	† Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
▼	ERT-20170701-20171231-IND_P...	Foam Blowing Agent Project 003C	ACR454	2017	ACR	2,599					⚙️
	Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		
	15Sep22-Lot1	CBL Markets Settlement	Sep 15 2022	4.55	2,599						⚙️
▼	VCU-20190101-20190930-EE-IN...	Ghani Solar Renewable Power Proj...	1792	2019	VCS	840					⚙️
	Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		
	15Sep22-Lot1	EMA CBL Markets Settleme...	Sep 15 2022	4.55	840						⚙️

- Review **Instrument Details** down to project or facility level.

**Instrument Details** [X]

**Instrument Details: EIN 1246713D99**

Description: NY+REC-2011-3-LFG-CT I-ME II

Credit Type: REC

Registry: NEPOOL

Vintage: Mar-2011

Fuel Type: Landfill Gas (LFG)

State Certifications\*: Connecticut Class I, Maine Class II

Voluntary Certifications\*:

Valid Markets/Programs\*: CT Class I (Certified/Eligible), CT Class II (Certified/Eligible), ME Class II (Certified/Eligible), National Any (Certified/Eligible)

**Instrument Markets and Prices**

Certified/Eligible	Price	Ccy	Updated
CT Class I-2011	16.50	USD	Jul 29 2011
CT Class II-2011	0.63	USD	Jul 29 2011
ME Class II-2011	0.10	USD	Jul 29 2011
National Any-2011			

Qualified ?	Price	Ccy	Updated
No Data			

**Project Details: UPN 098AB777**

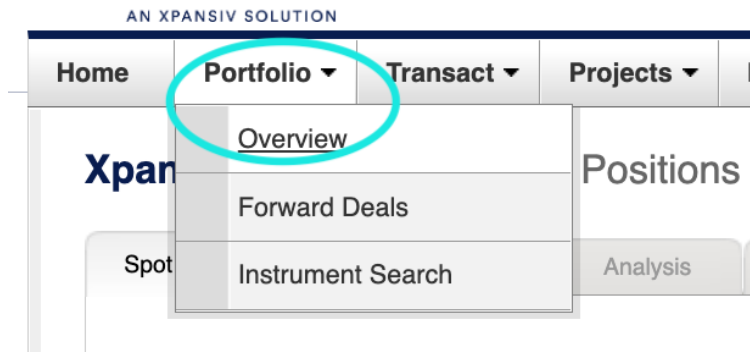
Name: BARRE LANDFILL

Registry Assigned ID: M551059

\* EINs issued before March 2010 may not have assigned certifications or eligibilities. Certifications and eligibilities are derived from publicly available data and are subject to change from time to time, which changes may not be reflected in an EIN. Before taking action in reliance on the certifications and eligibilities set forth in an EIN, you should confirm their status.

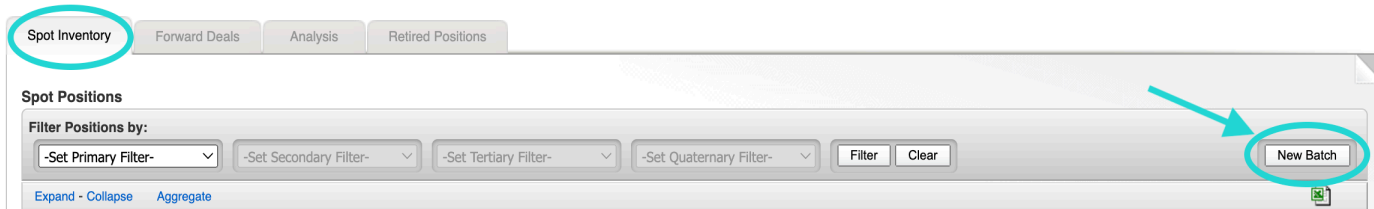
# Initiating a Transfer

- Log into Xpansiv Connect and click on [Portfolio > Overview](#)



- From the [Spot Inventory](#) tab, on the right click [New Batch](#).

## Xpansiv Portfolio Access: Positions



- In the pop-up window select the following: [EMA Batch Sell](#)
- [EMA batch type](#) = New EMA Batch Sell
- [Program](#) = Select the program or registry you are transferring
- [Market](#) = Select the Market you are transferring
- Click Continue

**Initiate EMA Batch**

**Define initial EMA Batch parameters**

EMA Batch Type:

If current filter settings include Program and/or Market, they are reflected here:

Program:

Market:

Aggregate by Instrument:

For New EMA Batch Buy, please go to [Transaction Details](#) under the Transact menu, and click the New Batch button.

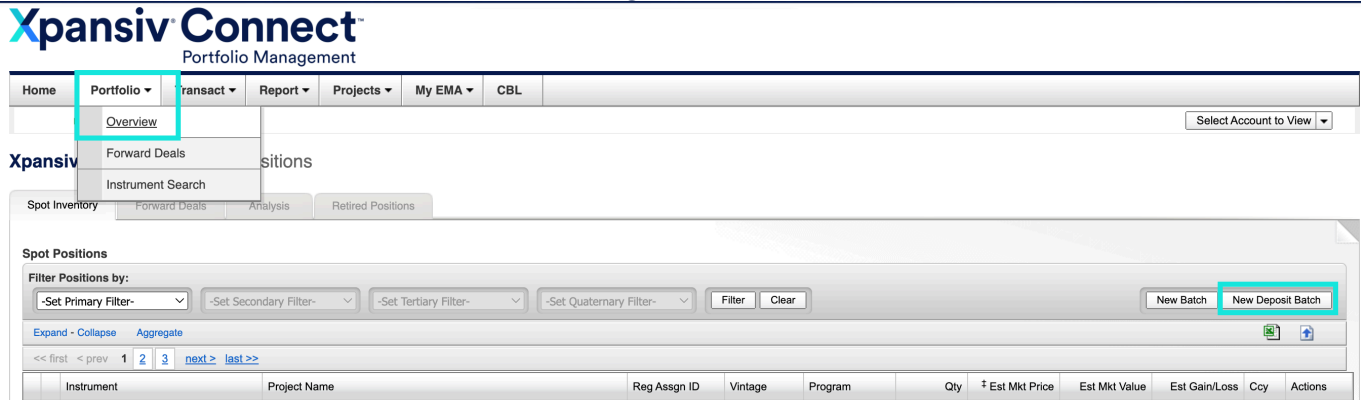
# Initiating a Transfer (cont.)

- Select which position(s) you want to transfer by clicking the checkbox(s) on the left.

- Select the **EMA Batch Sell** tab and a new screen will appear. Input the price per unit in **Unit Price**. If you do not use EMA for accounting purposes, you can simply enter the unit price as 0.
- Begin typing your **Counterparty Name**, it will auto populate in a list below for you to select.
- Click Update EMA Batch.
- Edit the **Qty Selected**, if you do not want to transfer the full amount.
- Review your transaction details for accuracy
- Click **Execute EMA Batch**

# Depositing Voluntary Carbon (VCM) to CBL

- Log into Xpansiv Connect and click on **Portfolio > Overview**.
- From the **Spot Inventory** tab, on the right click **New Deposit Batch**.



- In the pop-up window select the following:
- **EMA Batch Type** = CBL Voluntary Deposit
- **Program** – Select the desired registry (Verified Carbon Standard in this example)
- **Market** – Select the desired Market (VCS in this example)
- Click Continue

### Initiate EMA Deposit Batch

Define initial EMA Deposit Batch parameters

<b>EMA Batch Type</b>	CBL Voluntary Deposit
<b>Program</b>	Verified Carbon Standard
<b>Market</b>	VCS

In making this deposit, I confirm that I have legal title and the product has originated from my Participant registry account

[Continue](#) [Cancel](#)

# Depositing VCM to CBL (cont.)

- User will be directed to the **Voluntary Deposit** screen to select desired projects and vintages for VCM Deposit to CBL by checking the boxes on the left.
- In this example, user has filtered for Project VCS 1660 and selected vintage 2020 for deposit. When ready, click **Continue**.



Home Portfolio Transact Projects My EMA CBL

Voluntary Deposit - Program: Verified Carbon Standard - Market: VCS

Total positions selected: 2

1

<input type="checkbox"/>	TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Counterparty Name	Date	Price	Actions
<input checked="" type="checkbox"/>	22Mar23-Lot1	VCU-20201101-20201231-EE-IND	Wind bundle project in Maharashtra by Sispara	1660	2020	5,675		Mar 21, 2023	0.00	<input type="button" value="Q"/>
<input checked="" type="checkbox"/>	31Mar23-Lot1	VCU-20200101-20201031-EE-IND	Wind bundle project in Maharashtra by Sispara	1660	2020	5,535		Mar 30, 2023	0.00	<input type="button" value="Q"/>
<input type="checkbox"/>	31Mar23-Lot1	VCU-20180901-20181231-EE-IND	Wind bundle project in Maharashtra by Sispara	1660	2018	1		Mar 30, 2023	0.00	<input type="button" value="Q"/>
<input type="checkbox"/>	28Sep22-Lot1	VCU-20210101-20210831-EE-IND	Wind bundle project in Maharashtra by Sispara	1660	2021	25,584		Sep 27, 2022	0.00	<input type="button" value="Q"/>

Sum: 36,795

25 50 100

Cancel **Continue** 2

- Enter **Quantity** of each tax lot for deposit, set **Priority** of delivery order, and when ready, click **Execute** to deposit to CBL. The deposit(s) will appear in CBL Holdings within 1-2 minutes.
- Default ('def') priority is set to 1 (first out); user has the option to customize their default priority in the lower center of the screen.

Home Portfolio Transact Projects My EMA CBL

Voluntary Deposit - Program: Verified Carbon Standard - Market: VCS

Total quantity to deposit: 10,000

Select more positions

TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Quantity to deposit	Priority
22Mar23-Lot1	VCU-20201101-20201231-EE-IND	Wind bundle project in Maharashtra by Sispara	1660	2020	5,675	5,675	def
31Mar23-Lot1	VCU-20200101-20201031-EE-IND	Wind bundle project in Maharashtra by Sispara	1660	2020	5,535	4,325	2

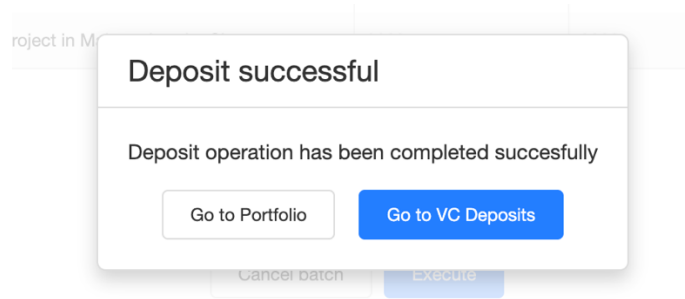
optional

Default priority 1

Cancel batch **Execute** 3

def  
1  
2  
3  
4  
5

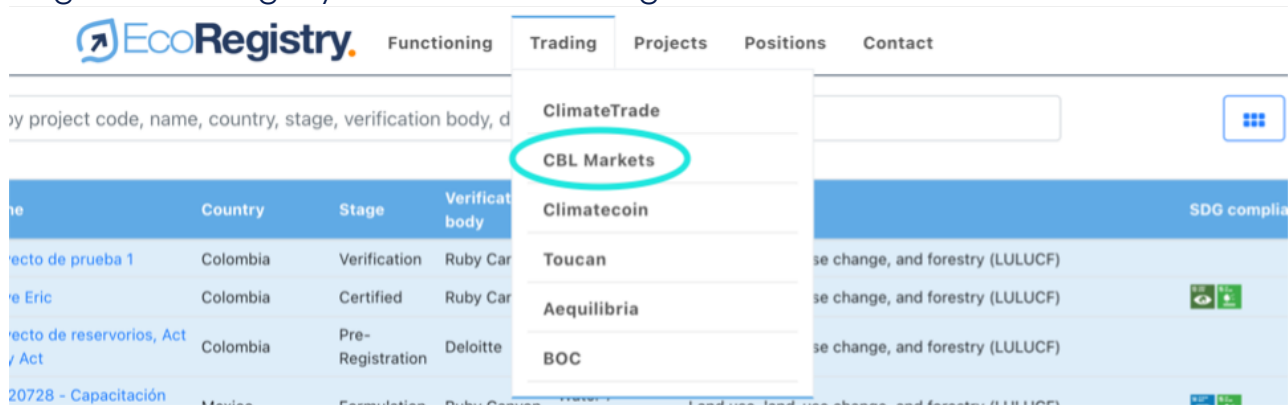
- User will see **Deposit successful** and can then click to return to Portfolio inventory or go to the Voluntary Deposits screen.



## Blocking Credits in EcoRegistry for Trading CERCarbono

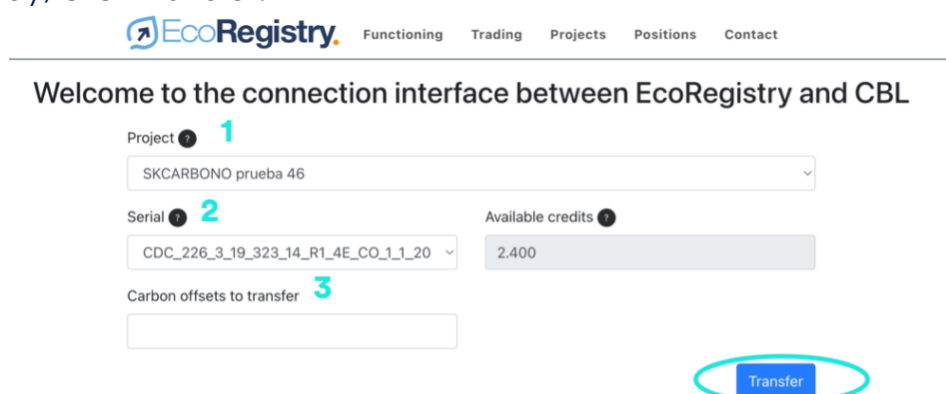
To deposit CERCarbono Standard Certified Offset Units (COUs) on CBL, COUs must first be blocked within EcoRegistry to reflect holdings in Xpansiv Connect.

- Log in to EcoRegistry and click on Trading > CBL Markets.



- From this screen, select which units you want to block in the registry so you can then deposit to CBL for listing
  - Project** – select from the drop down
  - Serial Number** – select from the drop-down
  - Carbon Offsets to Transfer** – number of tonnes (cannot exceed your Available Credits)

When ready, click **Transfer**.



- You will be prompted to enter a confirmation code sent via SMS or email. Once entered, click **Accept**.

**Transfer confirmation** ✕

A Code has been generated, which was sent by SMS to your cellphone number or to the e-mail registered on the platform. Please check your inbox or spam and enter the Code to confirm the transfer HERE, [resend code](#)  SMS  E-mail.

Enter the verification code

1. Code generated, please check your e-mail inbox, spam or SMS

Cancel
Accept

- “Successful Transfer” will appear once the transfer is made.

**EcoRegistry** Functioning Trading Projects Positions Contact

**Welcome to the connection interface between EcoRegistry and CBL**

Project ?  

SKCARBONO prueba 46

Serial ? Available credits ?  

CDC\_226\_3\_19\_323\_14\_R1\_4E\_CO\_1\_1\_20

2.400

Carbon offsets to transfer

1. Successful transfer

- Blocked Credits can be viewed under **Positions > My Positions**. The number of credits blocked will appear under the **Blocked Credits** column.

Positions Contact

---

My positions ←

Transfer carbon offsets

Transfer history

Carbon offsets retirement

	Issued credits	Available credits	Buffer type	Blocked credits	Quantity
_1_2018	890.660	2.399	---	1.001	<input style="width: 100px;" type="text"/>
_1_2012	33.316	100	---	-	<input style="width: 100px;" type="text"/>

- Once done, proceed to Xpansiv Connect and complete the steps to deposit to CBL. See [Depositing Voluntary Carbon \(VCM\) to CBL](#).



## Depositing Units for Listing IRECs

To deposit IRECs on CBL, the units must first be transferred to your Participant CBL IREC Trading Account to reflect holdings in Xpansiv Connect. Once done, proceed to EMA and complete the steps to deposit to CBL. See [Depositing Voluntary Carbon \(VCM\) to CBL](#).

If you need your Participant CBL IREC Trading Account number, please contact Client Service – [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com).

# Managing VCM Deposits

- Log into Xpansiv Connect and click on **Transact > Voluntary Deposits**. This is where user can see what projects/vintages are deposited on CBL to trade VCM.

Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions
Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Pending	1660	Jul 24, 2023	5675	5,675	0	1	[Q]
Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Pending	1660	Jul 24, 2023	4325	4,325	0	2	[Q]
Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	[Q]
The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	1	[Q]
Sum: 10,350							Sum: 125			

- On this screen you can verify the status of VCM deposits and view the 'Qty Settled' via CBL transactions.
- This screen also provides the tools to change the Priority of deposits and Withdraw deposited Inventory from CBL.

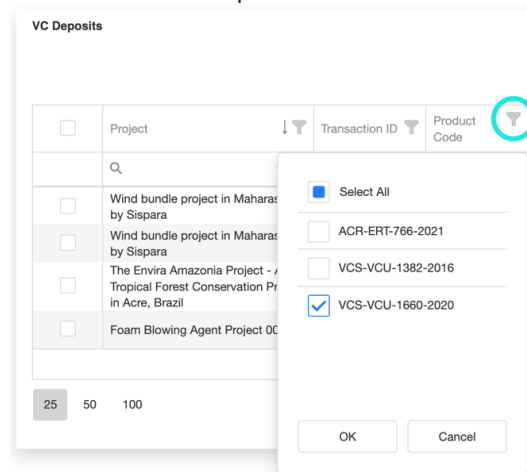
Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		[Q]
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		[Q]
CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	3	[Q]
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLU-ZWE	2016	6263	6263	0		[Q]
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160701-20161231-AFOLU-ZWE	2016	26573	26573	0		[Q]
GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0		[Q]
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	[Q]
Count: 7						Sum: 46226		Sum: 0		

- This snapshot of the CBL Platform 'Holdings' Tab shows the VCS Inventory deposited on the CBL Platform via the Voluntary Batch deposit process.

Holdings		Holding Transactions	Cash	Cash Transactions	+	
Actions	Instrument	Project ID	Vintage	Open Balance	Balance	Available
	VCS-VCU	1660	2020		10,000	10,000
	VCS-VCU	832	2010			
	VCS-VCU	641	2012			

## Navigating the VCM Deposit Screen

**Filtering:** to filter for a specific value in each column tap the filter icon at the top of the row and a list of values to select will be shown. In the example below VCS 1660 project rows will be displayed when OK is pressed.



**Sorting:** to sort a column, click on the label of the column. When sorted the ascending or descending arrow will be shown next to the column being sorted.

To sort by an additional column shift-click the additional column. This will show the additional column selected with a number depicting the level of the sorting for that additional column.

To remove a column from the sort order, CTRL-click (PC) or Command-click (Mac) the column label.

SIP Deposits											
Marked	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions	
	CGEO	Deposited	VCS	782 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1	
	CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	
	CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		
	CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		
	GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0		
	NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLU-ZWE	2016	6263	6263	0		

**Global Search:** a global **Search** function is available to find values across all columns. To search enter a value into the Search box at the top right of the screen. Values that match the search criteria will be returned. Example: search 2020 and the results displayed. To clear the search tap the 'x' in the search box.

**Search by column** is also available. To search for a value in a specific column enter the value in the search area at the top of each column.

VC Deposits

Change Priority Withdraw Retry

Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	<input type="checkbox"/>
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	<input type="checkbox"/>
Sum: 10,000							Sum: 0				

**Export:** to export the data from the Voluntary Deposit screen to an Excel click the  icon in the upper right corner and the data will be exported to a .csv file.

VC Deposits

Change Priority Withdraw Retry

Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	<input type="checkbox"/>
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	<input type="checkbox"/>
<input type="checkbox"/>	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	1	<input type="checkbox"/>
<input type="checkbox"/>	Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	<input type="checkbox"/>
Sum: 10,350							Sum: 125				

**View Details:** to view the project details, click the  icon on the left under Actions.

VC Deposits

Change Priority Withdraw Retry

Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	<input type="checkbox"/>
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	<input type="checkbox"/>
<input type="checkbox"/>	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	1	<input type="checkbox"/>
<input type="checkbox"/>	Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	<input type="checkbox"/>
Sum: 10,350							Sum: 125				

The details of the VCM Deposit will be displayed.

Position Details
✕

**ID**  
1729607

**Description**  
VCU-20201101-20201231-EE-IND

**EIN**  
125730F060

**Vintage**  
2020

**Project UPN**  
09BE80C8

**Project Name**  
[Wind bundle project in Maharashtra by Sispara](#)

**Registry Assigned ID**  
1660

**Retry:** in the event SIP deposit(s) are in the **Rejected** state, user can Retry by selecting the check box on the left of the affected row, then click the Retry option. The deposits will attempt to redeposit to CBL platform.

**VC Deposits**

Change Priority
Withdraw
Retry
Q Search...

<input type="checkbox"/>	Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q		
<input checked="" type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	<input type="checkbox"/>	
<input checked="" type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	<input type="checkbox"/>	
<input type="checkbox"/>	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	1	<input type="checkbox"/>	
<input type="checkbox"/>	Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	<input type="checkbox"/>	
								Sum: 10,350	Sum: 125			

# Managing VCM Deposits (cont.)

## Manage the Priority of VCM Deposits

As trades are made on the CBL Platform the VCM Deposit quantities reported as traded will be drawn for Settlement in Xpansiv Connect. Using the Priority associated with a given VCM Deposit user can manage how the VCM Deposits will be processed for Settlement. Settlements will start with VCM Deposits with a Priority of 1 and continue in order up to Priority 5 or until all available deposits are exhausted.

To change the Priority for an existing VCM Deposit select the relevant check box(es) on the far left for the deposits you want to update, then click “Change Priority” towards the upper right.

VC Deposits

2 Change Priority Withdraw Retry

<input type="checkbox"/>	Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	<input type="checkbox"/>
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	<input type="checkbox"/>
<input checked="" type="checkbox"/>	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	1	<input type="checkbox"/>
<input type="checkbox"/>	Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	<input type="checkbox"/>

Sum: 10,350 Sum: 125

The Change Deposit Priority pop up will be displayed. Select the appropriate value for the Priority and click Change Priority.

Change Priority

3

The Priority column will immediately update to the newly assigned deposit priority.

VC Deposits

Change Priority Withdraw Retry

<input type="checkbox"/>	Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	<input type="checkbox"/>
<input type="checkbox"/>	Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	<input type="checkbox"/>
<input type="checkbox"/>	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	3	<input type="checkbox"/>
<input type="checkbox"/>	Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	<input type="checkbox"/>

Sum: 10,350 Sum: 125

# Managing VCM Deposits (cont.)

## VCM Deposits Settlement in Priority Order

VCM Deposits will be drawn for Settlement in Priority order from 1 through 5. When trades are executed on CBL Platform the quantity traded will automatically be drawn from the VCM deposits.

In this example, 5,675 VCS 1660 v20 are available under Priority 1 – this means that a VCS 1660 v20 sale on CBL will first deliver inventory from the Priority 1 lot before attempting to deliver from the inventory from the 4,325 VCS 1660 v20 (priority 2) lot.

VC Deposits

Change Priority Withdraw Reify Search...

Project	Transaction ID	Product Code	Exchange Status	Reg Assign ID	Deposit Date	Qty	Qty Avail.	Qty Settled	Priority	Actions
Wind bundle project in Maharashtra by Sispara	18F7723B3	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	4325	4,325	0	2	Q
Wind bundle project in Maharashtra by Sispara	18F7723D9	VCS-VCU-1660-2020	Deposited	1660	Jul 24, 2023	5675	5,675	0	1	Q
The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	18F7725A3	VCS-VCU-1382-2016	Deposited	1382	Jul 13, 2023	225	225	0	3	Q
Foam Blowing Agent Project 001N	18F7727C7	ACR-ERT-766-2021	Deposited	766	Jul 14, 2023	250	125	125	1	Q
Sum: 10,350								Sum: 125		

## View Settled VCM Deposits

To view Xpansiv Connect VCM Settlements:

- Select the 'Transact/Account Activity' option on the top menu
- Select the 'Transaction History' tab on the Account Activity screen

Home Portfolio Transact Projects My EMA CBL

Xpansiv Transact: Account Activity

Current Transactions Encumbered RECs Current Orders Transaction History

Primary Filter Criteria

Transaction Type: All  
Period: Past 30 days  
Counterparty (CP):  
Transaction ID:  
External Trade ID:  
Show only Settled Transactions:

Secondary Filter Criteria

Program: Verified Carbon Standard  
-Set Secondary Filter-  
-Set Tertiary Filter-  
-Set Quaternary Filter-  
Filter Clear

Search Results

Trans ID	Last Update	Counterparty (CP)	Type	Instrument	Project Name	Vintage	Qty <sup>0</sup>	Price <sup>0</sup>	Ccy	Trans Amt	Status
1B6F09CE4	Jul 12 2023	EMA CBL Markets Settlements	Sell	VCU-20160101-20161231-AFOLU-BRA	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	2016	125	0.00	USD	0.00	Settled
1B6FOA558	Jul 05 2023	EMA CBL Markets Settlements	Sell	VCU-20160101-20161231-AFOLU-BRA	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	2016	100	0.03	USD	3.00	Settled
1B6FOA442	Jul 03 2023	EMA CBL Markets Settlements	Sell	VCU-20160101-20161231-AFOLU-BRA	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	2016	100	0.03	USD	3.00	Settled
1B6FOA418	Jul 03 2023	EMA CBL Markets Settlements	Sell	VCU-20160101-20161231-AFOLU-BRA	The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	2016	100	0.03	USD	3.00	Settled

In the screen above the Settlements are shown in detail. The Status Column will reflect the current state of the Settlement process for each row.

# Managing VCM Deposits (cont.)

## Withdraw VCM Deposits from CBL Platform

**NOTE:** It is important to utilize Xpansiv Connect for Withdrawal of VCM deposits and **only** Xpansiv Connect to Withdraw deposited quantities from the CBL Platform.

To Withdraw all, or a portion of, a VCM Deposit from the CBL Platform:

- Go to Transact > Voluntary Deposit option on the top menu
- Select your withdrawals by checking the relevant boxes on the far left
- Click Withdraw towards the upper right

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
<input checked="" type="checkbox"/>	CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0	
<input checked="" type="checkbox"/>	CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0	
<input type="checkbox"/>	CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1
<input checked="" type="checkbox"/>	NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLU-ZWE	2016	6263	6263	0	
<input type="checkbox"/>	NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160701-20161231-AFOLU-ZWE	2016	26573	26573	0	

A new screen will appear showing the rows selected for Withdrawal. You have the option to adjust the quantity you want to withdraw in the right column. You can also remove a row by clicking the trash can icon on the right. When ready, click Submit.

Project	Product Code	Transaction ID	Reg Assign ID	Vintage	Quantity	Quantity To Withdraw	
Wind bundle project in Maharashtra by Sispara	VCS-VCU-1660-2020	18F7723B3	1660	2020	4,325	1,325	
The Envira Amazonia Project - A Tropical Forest Conservation Project in Acre, Brazil	VCS-VCU-1382-2016	18F7725A3	1382	2016	225	225	
					Sum: 4,550	Sum: 1,550	

25 50 100 < 1 >

Cancel **Execute**

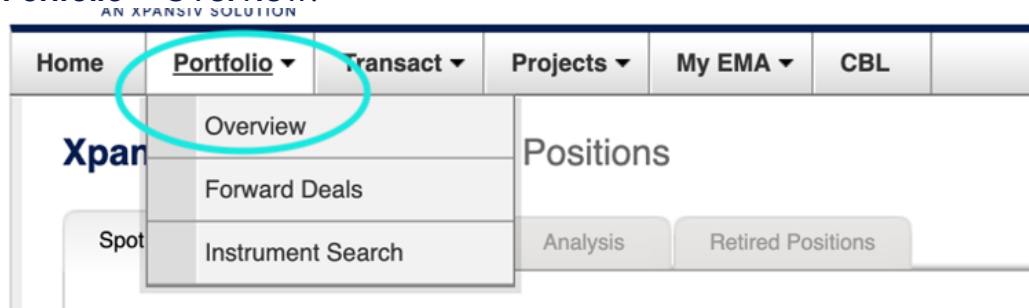
Once you click Submit, the units will be returned to your Portfolio Inventory within 1-2 minutes.

## Unblocking Credits in Xpansiv Connect for return to EcoRegistry

To return blocked credits to EcoRegistry inventory, COUs must first be withdrawn from Voluntary deposit on CBL then unblocked in Xpansiv Connect.



- To unblock credits for return to EcoRegistry inventory, log in to Xpansiv Connect and click on **Portfolio > Overview**.



- Click on the cog wheel icon located to the right on the same line of the credits you want to unblock. You will see the options Sell, Export, and Retire. To unblock, click **Export**.



# Depositing SIP to CBL

- Log into Xpansiv Connect and click on **Portfolio > Overview**.
- From the **Spot Inventory** tab, on the right click **New Batch**.

**Xpansiv Portfolio Access: Positions**

Spot Inventory   Forward Deals   Analysis   Retired Positions

**Spot Positions**

Filter Positions by:

Market:    -Set Secondary Filter-   -Set Tertiary Filter-   -Set Quaternary Filter-   Filter   Clear   **New Batch**

Expand - Collapse   Aggregate

<< first < prev 1 2 next > last >>

	Instrument	Project Name	Reg Assign ID	Vintage	Program	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
▶	VCU-20151101-20161231-A-R-I...	Katingan Peatland Restoration and...	1477	2016	VCS	279,000					⚙️ 🗑️
▶	VCU-20170101-20171231-A-R-K...	Southern Cardamom REDD+ Projec...	1748	2017	VCS	750,000					⚙️ 🗑️
▶	VCU-20160101-20161231-A-R-K...	Southern Cardamom REDD+ Projec...	1748	2016	VCS	655,943					⚙️ 🗑️
▶	VCU-20170101-20171231-A-R-B...	The Envira Amazonia Project - A ...	1382	2017	VCS	14,485					⚙️ 🗑️
▶	VCU-20160101-20161231-A-R-C...	Chudu Afforestation Project	2087	2016	VCS	50,972					⚙️ 🗑️

- In the pop-up window select the following:
  - **EMA Batch Type** = New EMA Batch SIP
  - **Market** = Select the appropriate market (NGEO for this example)
  - **Priority** = The Priority option shown determines how the deposited inventory will be settled when traded on the CBL Platform.
    - Valid selections for the Priority range from 1 through 5.
    - When Xpansiv Connect receives traded quantities from CBL the Inventory deposited will be drawn down in Priority order starting with the Deposits with Priority 1 and will continue through, in order, to Deposits Priority 2-5.
- Click Continue

**Initiate EMA Batch** [X]

Define initial EMA Batch parameters

EMA Batch Type:

Market:

Priority:

Settlement will be automatic, based on priority. Lower numbers will settle before larger numbers. If deposits have the same ranking, EMA will settle the earlier vintage first. Blank priorities will not be settled unless there are no prioritized deposits, settling earlier vintages first.

For New EMA Batch Buy, please go to [Transaction Details](#) under the Transact menu, and click the New Batch button.

**Continue**   **Cancel**

# Depositing SIP to CBL (cont.)

- Select which position(s) you want to deposit by clicking the checkbox(s) on the left.

**Xpansiv Portfolio Access: Positions**

Spot Inventory Forward Deals Analysis Retired Positions **EMA Batch SIP - Qty: 0**

**Spot Positions**

Filter Positions by:

Market: NCEO -Set Secondary Filter- -Set Tertiary Filter- -Set Quaternary Filter- Filter Clear New Batch

Expand - Collapse Select All Items (All Pages) - Clear Selection

<< first < prev 1 2 next > last >>

<input type="checkbox"/>	Instrument	Project Name	Reg Assign ID	Vintage	Program	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy	Actions
<input type="checkbox"/>	VCU-20151101-20161231-A/R-L...	Katingan Peatland Restoration and...	1477	2016	VCS	279,000					
	Tax Lots	Counterparty (CP)	Date	Price Paid	Qty	Est Mkt Price	Est Mkt Value	Est Gain/Loss	Ccy		

- Select the **EMA Batch SIP** tab and a new screen will appear.
- On this screen you have the option to edit the quantity for the SIP Deposit in the **Qty Selected** column for each Tax Lot.
- Leave unedited to deposit the full Tax Lot quantity if desired.
- Review your deposit details for accuracy.
- Click **Execute EMA Batch**.

**Xpansiv Portfolio Access: Positions**

Spot Inventory Forward Deals Analysis Retired Positions **EMA Batch SIP - Qty: 1,141,136**

**EMA Batch SIP**

Project	Registry Assigned ID	Tax Lot	Vintage	Program	Priority	Qty Avail	Qty Selected
Katingan Peatland Restoration and Conservation Project	1477	31Mar22-Lot1	2016	Verified Carbon Standard	1	240,000	240000
Southern Cardamom REDD+ Project	1748	10Mar21-Lot1	2017	Verified Carbon Standard	1	748,318	748318
Southern Cardamom REDD+ Project	1748	13Feb22-Lot1	2016	Verified Carbon Standard	1	152,818	152818
<b>Totals</b>							<b>1,141,136</b>

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**Execute EMA Batch** **Exit Batch Mode**

† **Transaction Date:** The actual date on which the purchase or sale portion of an existing Xpansiv Transact OTC transaction for an environmental instrument was executed. In EMA, the Transaction Date cannot represent a date in the future; it can be the current date or any EMA business date prior to today.

‡ **Settlement Date:** The date on which the physical settlement of an environmental instrument is going to be initiated, in the registry account of an Xpansiv EMA account holder, to satisfy the terms of an existing Xpansiv Transact OTC transaction. In EMA, the settlement date must be a date representing today or a future EMA business date. EMA will initiate physical settlement in the registry for Xpansiv Transact OTC Transactions that are in **Approved** status before **8:00 PM ET**. Transactions approved after this time are physically settled on the settlement day on a best-efforts basis.

# Managing SIP Deposits

- Log into Xpansiv Connect and click on **Transact > SIP**. This is where you can see what projects/vintages are deposited on CBL to trade SIP.
- If needed, you can amend the priority of certain SIP products deposit on CBL by clicking the gear wheel icon (⚙️). The ability to **Set Priority** will appear.

**SIP Deposit**

Filter Deposits by:

Market:  Filter Clear

Select SIPs to withdraw

Identifier	Market	Date	Status	Instrument	Project	Vintage	Program	Qty	Qty Avail.	Qty Settled	Est Mkt Price	Est Mkt Value	Priority	Action
18C4674E6	NGEO	Jul 07 2022 09:31:32 EDT	Deposited	VCU-20170101-20171231-A/R-BRA	The ARC REDD+ Project	2017	VCS	1000	1000	0				<a href="#">Set priority</a>
18C1CCC8	NGEO	Sep 10 2022 21:13:17 EDT	Deposited	VCU-20160101-20161231-A/R-IDN	Rimba Raya Biodiversity Reserve Project	2016	VCS	12000	12000	0		0	3	⚙️
18C1CD37	NGEO	Sep 10 2022 21:13:17 EDT	Deposited	VCU-20160101-20161231-A/R-IDN	Rimba Raya Biodiversity Reserve Project	2016	VCS	1	1	0		0	3	⚙️
18C1CCCA	NGEO	Sep 10 2022 21:13:17 EDT	Deposited	VCU-20160101-20161231-A/R-IDN	Rimba Raya Biodiversity Reserve Project	2016	VCS	836	836	0		0	3	⚙️
18C1CCC9	NGEO	Sep 10 2022 21:13:17 EDT	Deposited	VCU-20160101-20161231-A/R-IDN	Rimba Raya Biodiversity Reserve Project	2016	VCS	2163	2163	0		0	3	⚙️
18D8670F7	NGEO	Jan 24 2022 09:51:09 EST	Deposited	VCU-20190101-20190331-A/R-BRA	The ARC REDD+ Project	2019	VCS	81056	56	81000		0	5	⚙️
<b>Totals</b>								<b>97,056</b>	<b>16,056</b>	<b>81,000</b>		<b>0.00</b>		

<< first < prev 1 next > last >>

\* The Quantity (Qty) amount includes the effects of currently unsettled transactions for an instrument.  
 † Generally, prices are obtained from third party pricing services, and are not guaranteed for accuracy, currency or as realized values. Certain positions may appear without a price if we are unable to obtain a price and/or the instrument is not actively traded. Carbon pricing data sourced from Point Carbon, a Thomson Reuters company. Pricing sources and methods are available upon request and are subject to change.

- On this screen you can verify the status of SIP deposits and view the 'Qty Settled' via CBL transactions.
- This screen also provides the tools to change the Priority of deposits and Withdraw deposited Inventory from CBL.

**SIP Deposits**

Change Priority Withdraw Retry Search...

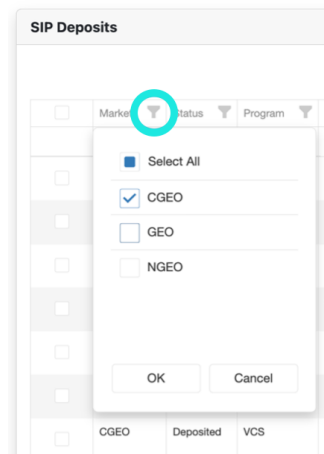
Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions		
CGEO	Deposited	VCS	1519 - Sataria Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		🔍		
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		🔍		
CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	3	🔍		
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLU-ZWE	2016	6263	6263	0		🔍		
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160701-20161231-AFOLU-ZWE	2016	26573	26573	0		🔍		
GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0		🔍		
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	🔍		
<b>Count:</b>						<b>7</b>	<b>Sum:</b>	<b>46226</b>	<b>Sum:</b>	<b>46226</b>	<b>Sum:</b>	<b>0</b>

- This snapshot of the CBL Platform 'Holdings' Tab shows the NCEO Inventory deposited on the CBL Platform via the SIP Batch deposit process.

Holdings		Holding Transactions	Cash	Cash Transactions	+	
Actions	Instrument	Project ID	Vintage	Open Balance	Balance	Available
	CGEO			12,890	12,890	12,890
	CGEO-TR					
	GEO			500	500	500
	NGEO			32,836	32,836	32,836
	NGEO-TR					

## Navigating the SIP Screen

**Filtering:** to filter for a specific value in each column tap the filter icon at the top of the row and a list of values to select will be shown. In the example below the CGEO Market rows will be displayed when OK is pressed.



**Sorting:** to sort a column, click on the label of the column. When sorted the ascending or descending arrow will be shown next to the column being sorted.

To sort by an additional column shift-click the additional column. This will show the additional column selected with a number depicting the level of the sorting for that additional column. To remove a column from the sort order, CTRL-click (PC) or Command-click (Mac) the column label.

SIP Deposits											
Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions	
CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1		
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3		
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0			
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0			
GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0			
NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLU-ZWE	2016	6263	6263	0			

**Global Search:** a global **Search** function is available to find values across all columns. To search enter a value into the Search box at the top right of the screen. Values that match the search criteria will be returned. Example: search 2020 and the results will be displayed. To clear the search tap the 'x' in the search box.

**Search by column** is also available. To search for a value in a specific column enter the value in the search area at the top of each column.

**SIP Deposits**

Change Priority Withdraw Retry

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		<input type="text"/>
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		<input type="text"/>
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	<input type="text"/>
GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0		<input type="text"/>

**Export:** to export the data from the SIP screen to Excel, click the  icon in the upper right corner and the data will be exported to a .csv file.

**SIP Deposits**

Change Priority Withdraw Retry

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		<input type="text"/>
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		<input type="text"/>
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	<input type="text"/>

**View Details:** to view the project details, click the  icon on the left under Actions.

**SIP Deposits**

Change Priority Withdraw Retry

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		<input type="text"/>
CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		<input type="text"/>
CGEO	Deposited	VCS	1758 - Derail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	<input type="text"/>
GEO	Deposited	VCS	2478 - Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh	VCU-20191108-20201231-FUEL-BGD	2019	500	500	0		<input type="text"/>
CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1	<input type="text"/>

The details of the SIP Deposit will be displayed.

**Position Details**

ID	17336345
EIN	1256FB5B35
Description	VCU-20191108-20201231-FUEL-BGD
Credit Type	VCU
Program	VCS
Vintage	2019
Project UPN	09EBA387
Project Name	Reducing Gas Leakages within the Titas Gas Distribution Network in Bangladesh
Registry Assigned Id	2478

[Project Details](#)
Close

**Retry:** in the event SIP deposit(s) are in the **Rejected** state, user can Retry by selecting the check box on the left of the affected row, then click the Retry option. The deposits will attempt to redeposit to CBL platform.

SIP Deposits															
												Change Priority	Withdraw	Retry	Search...
<input type="checkbox"/>	Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions				
	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q	Q				
<input type="checkbox"/>	NGEO	Deposited	VCS	1748 - Southern Cardamom REDD+ Project	VCU-20180101-20181231-A/R-KHM	2018	600	600	0	1	<input type="checkbox"/>				
<input type="checkbox"/>	CGEO	Deposited	VCS	1506 - Girakdami Hydro Electricity Power Plant	VCU-20200101-20200930-EE-TUR	2020	250	225	25	1	<input type="checkbox"/>				
<input type="checkbox"/>	NGEO	Deposited	VCS	1748 - Southern Cardamom REDD+ Project	VCU-20160101-20161231-A/R-KHM	2016	200	200	0	1	<input type="checkbox"/>				
<input checked="" type="checkbox"/>	NGEO	Rejected	VCS	1748 - Southern Cardamom REDD+ Project	VCU-20180101-20181231-A/R-KHM	2018	50	50	0	1	<input type="checkbox"/>				
<input checked="" type="checkbox"/>	NGEO	Rejected	VCS	1650 - Reduced Emissions from Deforestation and Degradation in Keo Seima Wildlife Sanctuary	VCU-20190101-20191231-A/R-KHM	2019	50	50	0	1	<input type="checkbox"/>				
<input type="checkbox"/>	NGEO	Deposited	VCS	1689 - Tumring REDD+ Project	VCU-20180101-20181231-A/R-KHM	2018	150	150	0	1	<input type="checkbox"/>				
<input type="checkbox"/>	CGEO	Deposited	VCS	1088 - Hydroelectric Project El EdÄän	VCU-20170216-20171231-EE-COL	2017	100	100	0	1	<input type="checkbox"/>				
Counts 7							Sum: 1400	Sum: 1375	Sum: 25						

# Managing SIP Deposits (cont.)

## Manage the Priority of SIP Deposits

As trades are made on the CBL Platform the SIP Deposit quantities reported as traded will be drawn for Settlement in Xpansiv Connect. Using the Priority associated with a given SIP Deposit you can manage how the SIP Deposits will be processed for Settlement. Settlements will start with SIP Deposits with a Priority of 1 and continue in order up to Priority 5 or until all available deposits are exhausted.

To change the Priority for an existing SIP Deposit, select the relevant check box(es) on the far left for the deposits you want to update, then click “Change Priority” towards the upper right.

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		Q
<input type="checkbox"/>	CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		Q
<input checked="" type="checkbox"/>	CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	3	Q

The Change Deposit Priority pop up will be displayed. Select the appropriate value for the Priority and click Change Priority.

Change priority

Input a priority value 1-5

1

1

2

3

4

5

Change priority Close

The Priority column will immediately update to the newly assigned deposit priority.

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input type="checkbox"/>	CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		Q
<input type="checkbox"/>	CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		Q
<input checked="" type="checkbox"/>	CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1	Q



# Managing SIP Deposits (cont.)

## SIP Deposits Settlement in Priority Order

SIP Deposits will be drawn for Settlement in Priority order from 1 through 5. When trades are executed on CBL Platform the quantity traded will automatically be drawn from the SIP deposits. In this example the SIP Deposits processed in the previous steps will be used for trading on the CBL Platform.

897 CGEO are available under Priority 1 – this means that any CGEO sale on CBL will first deliver inventory from the 897 VCS 762 (priority 1) lot before attempting to deliver from the 333 VCS 1758 (priority 3) lot.

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
CGEO	Deposited	VCS	1758 - Deraail Hydroelectric Power Plant	VCU-20200101-20200930-EE-TUR	2020	333	333	0	3	
CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1	
CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		

## View Settled SIP Deposits

To view Xpansiv Connect SIP Settlements:

- Select the 'Transact/Account Activity' option on the top menu
- Select the 'Transaction History' tab on the Account Activity screen

AN XPANSIV SOLUTION

Home Portfolio Transact Projects My EMA CBL

**Xpansiv Transact: Account Activity**

Current Transactions Encumbered RECs Current Orders Transaction History

**Primary Filter Criteria**

Transaction Type: All  
 Period: Past 7 days  
 Counterparty (CP):  
 Transaction ID:  
 External Trade ID:  
 Show only Settled Transactions:

**Secondary Filter Criteria**

-Set Primary Filter- -Set Secondary Filter- -Set Tertiary Filter- -Set Quaternary Filter- Filter Clear

**Search Results**

Trans ID	Last Update	Counterparty (CP)	Type	Instrument	Project Name	Vintage	Qty <sup>o</sup>	Price <sup>o</sup>	Ccy	Trans Amt	Status
1B3F39841	Mar 30 2023	CBL Markets N/GEO Market Settlement	Buy	VCU-20160101-20161231-EE-IND	Bundled Wind Power Project by Mytrah Group	2016	7,000	0.00	USD	0.00	Settled
1B76C8336	Mar 30 2023	CBL Markets N/GEO Market Settlement	Split	VCU-20160101-20161231-EE-IND	Bundled Wind Power Project by Mytrah Group	2016	1	3.00	USD	3.00	Success
1B76C8328	Mar 30 2023	CBL Markets N/GEO Market Settlement	Split	VCU-20160101-20161231-EE-IND	Bundled Wind Power Project by Mytrah Group	2016	1	2.00	USD	2.00	Success

In the screen above the Settlements are shown in detail. The Status Column will reflect the current state of the Settlement process for each row.

# Managing SIP Deposits (cont.)

## Withdraw SIP Deposits from CBL Platform

To Withdraw all, or a portion of a, SIP Deposit from the CBL Platform:

- Go to Transact > SIP option on the top menu
- Select your withdrawals by checking the relevant boxes on the far left
- Click Withdraw towards the upper right

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions	
<input checked="" type="checkbox"/>	CGEO	Deposited	VCS	1519 - Satara Wind Power Project in Maharashtra, India.	VCU-20201101-20201231-EE-IND	2020	5985	5985	0		<input type="text"/>
<input checked="" type="checkbox"/>	CGEO	Deposited	VCS	1660 - Wind bundle project in Maharashtra by Sispara	VCU-20201101-20201231-EE-IND	2020	5675	5675	0		<input type="text"/>
<input type="checkbox"/>	CGEO	Deposited	VCS	762 - Grid connected electricity generation from renewable sources: Uzuncayir 82.0 MW Hydroelectric Power Plant Project, Turkey	VCU-20190101-20191201-EE-TUR	2019	897	897	0	1	<input type="text"/>
<input checked="" type="checkbox"/>	NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160101-20160630-AFOLU-ZWE	2016	6263	6263	0		<input type="text"/>
<input type="checkbox"/>	NGEO	Deposited	VCS	902 - KARIBA REDD+ PROJECT	VCU-20160701-20161231-AFOLU-ZWE	2016	26573	26573	0		<input type="text"/>

A new screen will appear showing the rows selected for Withdrawal. You have the option to adjust the quantity you want to withdraw in the right column. You can also remove a row by clicking the trash can icon on the right. When ready, click Submit.

Project	Group registry Id	Group status	Group vintage	Group program	Qty Available	Qty To Withdraw	Actions
Satara Wind Power Project in Maharashtra, India.	1519	Deposited	2020	VCS	5985	<input type="text" value="1000"/>	<input type="text"/>
Wind bundle project in Maharashtra by Sispara	1660	Deposited	2020	VCS	5675	<input type="text" value="675"/>	<input type="text"/>
KARIBA REDD+ PROJECT	902	Deposited	2016	VCS	6263	<input type="text" value="2500"/>	<input type="text"/>

Count: 3      Sum: 17923      Sum: 4175


Once you click Submit, the units will be returned to your Portfolio Inventory within 1-2 minutes.

# Managing SIP Deposits (cont.)

## View Qualified SIP Projects

To view Projects Qualified for a given Market:

- Go to Projects > Global Projects Report via top menu
- In the Search Criteria section select the desired Market in the 'Certification' filter.  
Example: N GEO



Operations ▾
Projects ▾
Project Admin ▾
Internal Tools ▾
CBL

**Xpansiv Project Track:** Global Projects Report

**Search Criteria**  
Narrow your search by selecting appropriate criteria:

EIN

UPN

Project Name

Program Verified Carbon Standard ▾

Registry Assigned Project ID

Certification NGEO ▾

Host Country All ▾

Search for Projects with these criteria

**Search Results**

UPN	Project Name	Program Info	Country	Certification	Dataroom
09905711	<a href="#">The Kasigau Corridor REDD Project – Phase I Rukinga Sanctuary</a>	Verified Carbon Standard	Kenya	NGEO, N GEO TR	
09905828	<a href="#">The Kasigau Corridor REDD Project - Phase II The Community Ranches</a>	Verified Carbon Standard	Kenya	NGEO, N GEO TR	
09905A78	<a href="#">Darkwoods Forest Carbon Project</a>	Verified Carbon Standard	Canada	NGEO, N GEO TR	
0991B348	<a href="#">Rimba Raya Biodiversity Reserve Project</a>	Verified Carbon Standard	Indonesia	NGEO, N GEO TR	
099270F5	<a href="#">TIST Program in Kenya, VCS 005</a>	Verified Carbon Standard	Kenya	NGEO, N GEO TR	
09958995	<a href="#">BIOCORREDOR MARTIN SAGRADO REDD+ PROJECT</a>	Verified Carbon Standard	Peru	NGEO, N GEO TR	
09958A38	<a href="#">Alto Mayo Conservation Initiative</a>	Verified Carbon Standard	Peru	NGEO, N GEO TR	
09958B27	<a href="#">The Mai Ndombe REDD+ Project</a>	Verified Carbon Standard	Congo, The Democratic Republic Of The	NGEO, N GEO TR	
09958D50	<a href="#">The Purus Project</a>	Verified Carbon Standard	Brazil	NGEO, N GEO TR	
09958D87	<a href="#">"Weyerhaeuser Uruguay" Forest Plantations on degraded grasslands under extensive grazing</a>	Verified Carbon Standard	Uruguay	NGEO, N GEO TR	
0995E195	<a href="#">Cordillera Azul National Park REDD Project</a>	Verified Carbon Standard	Peru	NGEO, N GEO TR	
099717C8	<a href="#">Reduction of deforestation and degradation in Tambopata National Reserve and Bahuaja-Sonene National Park within the area of Madre de Dios region –Peru</a>	Verified Carbon Standard	Peru	NGEO, N GEO TR	
0997F190	<a href="#">JARI/AMAPÁ REDD+ PROJECT</a>	Verified Carbon Standard	Brazil	NGEO, N GEO TR	
099D1708	<a href="#">Kulera Landscape REDD+ Project for Co-Managed Protected Areas, Malawi</a>	Verified Carbon Standard	Malawi	NGEO	
09AB0603	<a href="#">Laguna Seca Forest Carbon Project</a>	Verified Carbon Standard	Belize	NGEO	

<< first < prev **1** 2 3 4 5 next > last >>

# Depositing US RECs to CBL

- Log into Xpansiv Connect and click on **Portfolio > Overview**.
- From the **Spot Inventory** tab, on the right click **New Deposit Batch**.



- In the pop-up window select the following:
- **EMA Batch Type** = CBL Compliance REC Deposit
- **Program** = select registry for deposit (NEPOOL, NAR, NYGATS, MIRECS, PJM or ERCOT)
- **Please Note:** For PJM and ERCOT, user must transfer the RECs from primary account to Participant's CBL Settlement Registry Account before this step.
- **Market** = Specific Market of RECs – user must select specific eligibility desired for deposit
- Click Continue

### Initiate EMA Deposit Batch

Define initial EMA Deposit Batch parameters

<b>EMA Batch Type</b>	CBL Compliance REC Deposit
<b>Program</b>	NEPOOL
<b>Market</b>	MA Class I

In making this deposit, I confirm that I have legal title and the product has originated from my Participant registry account

[Continue](#) [Cancel](#)

# Depositing US RECs (cont.)

- Select the position(s) you want to deposit by clicking the checkbox(s) on the left.
- Click Continue

Compliance Deposit - Program: NEPOOL

Select All Mode: Current Page | Clear Filters | Search...

TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Counterparty Name	Date	Price
<input checked="" type="checkbox"/>	27Aug24-Lot1	MA+REC-2024-3-SUN-MA CES-MA I	NON171099	2024 03	5	CBL Markets (USA) LLC	Aug 26, 2024	0.00
<input checked="" type="checkbox"/>	27Aug24-Lot1	MA+REC-2024-1-SUN-MA CES-MA I	NON171108	2024 01	2	CBL Markets (USA) LLC	Aug 26, 2024	0.00
<input type="checkbox"/>	16Jul24-Lot1	MA+REC-2024-1-SUN-MA CES-MA I	NON38891	2024 01	5	CBL Markets (USA) LLC	Jul 15, 2024	0.00
<input checked="" type="checkbox"/>	27Aug24-Lot1	MA+REC-2024-3-SUN-MA CES-MA I	NON171108	2024 03	10	CBL Markets (USA) LLC	Aug 26, 2024	0.00
<input checked="" type="checkbox"/>	27Aug24-Lot1	MA+REC-2024-2-SUN-MA CES-MA I	NON33815	2024 02	286	CBL Markets (USA) LLC	Aug 26, 2024	0.00
<input type="checkbox"/>	27Aug24-Lot1	MA+REC-2024-3-SUN-MA CES-MA I	NON34449	2024 03	146	CBL Markets (USA) LLC	Aug 26, 2024	0.00
<input type="checkbox"/>	27Aug24-Lot1	MA+REC-2024-2-SUN-MA CES-MA I	NON34273	2024 02	213	CBL Markets (USA) LLC	Aug 26, 2024	0.00

25 50 100 | 1 2

Cancel Continue

- On the next screen you have the option to edit the quantity for deposit in the **Quantity to deposit** column.
- You may also delete a row using the trash can icon next to Quantity to deposit.
- Review the details for accuracy.
- Click **Execute**.

Compliance Deposit - Program: NEPOOL

Clear Filters | Select more positions | Unselect All | Search...

TaxLot	Instrument	Project	Reg Assign ID	Vintage	Quantity	Quantity to deposit	
27Aug24-Lot1	MA+REC-2024-3-SUN-MA CES-MA I	Gillette Stadium - Patriot Place Gillette Stadium - Patriot Place Building E	NON171099	2024 03	5	5	
27Aug24-Lot1	MA+REC-2024-3-SUN-MA CES-MA I	Gillette Stadium - Patriot Place Gillette Stadium - Patriot Place Building J	NON171108	2024 03	10	10	
27Aug24-Lot1	MA+REC-2024-1-SUN-MA CES-MA I	Gillette Stadium - Patriot Place Gillette Stadium - Patriot Place Building J	NON171108	2024 01	2	2	
Sum: 17						Sum: 17	

25 50 100 | 1

Cancel batch Execute

- Upon **CBL trade execution**, oldest vintages will be delivered first. If there are several vintages of the same month and year, oldest tax lots will settle first.

# Managing US REC Deposits

- Log into Xpansiv Connect and click on **Transact > Compliance Deposits**. This is where you can see what RECs are deposited on CBL for trading.
- On this screen you can verify the status of REC deposits and view the 'Qty Settled' via CBL transactions.
- This screen also provides the tools to change the market eligibility for multi-qualified REC deposits and Withdraw deposited Inventory from CBL.

COMP Deposits

Select All Mode: Current Page | Eligible Markets: Select... | Clear Filters | Change market | Withdraw | Retry | Search...

<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input type="checkbox"/>	Kings 625 Storage	28Aug24-Lot1	PA+REC-2022-8-SUN-OH SRES-PA RS-VA	Pending	PA Tier I	PJM	NON63389	2022 08	Sep 05, 2024	15	15	0	Q
<input type="checkbox"/>	Kings 625 Storage	28Aug24-Lot1	PA+REC-2023-5-SUN-OH SRES-PA RS-VA	Pending	PA Tier I	PJM	NON63389	2023 05	Sep 05, 2024	14	14	0	Q
										Sum: 29	Sum: 29	Sum: 0	

25 50 100 | < 1 >


- The CBL Platform 'Holdings' Tab shows the REC inventory deposited on the CBL Platform via the REC Batch deposit process.

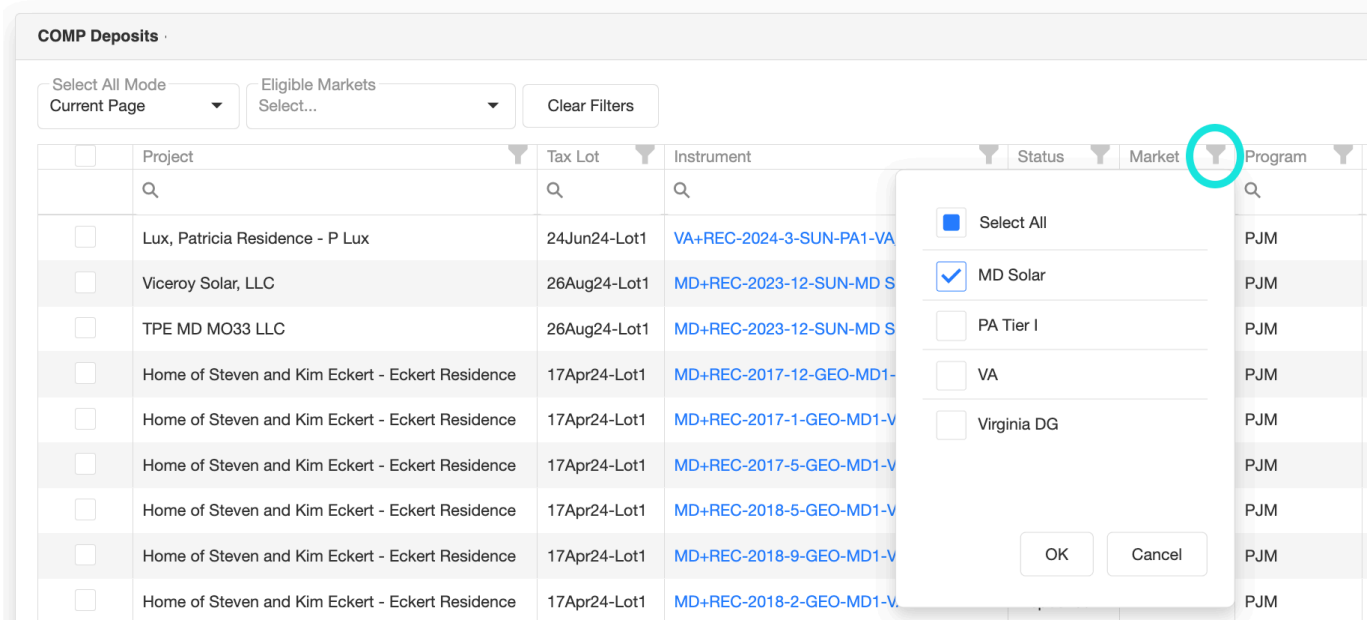
Holdings		Holding Transactions				
Actions	Instrument	Project ID	Vintage	Open Balance	Balance	Available
	OHNSv19			64	64	64
	OHSRv19			64	64	64
	VAv18			28	28	28
	MA1v24				17	17
	PA1v22				15	15
	PA1v23				14	14
	PA1v19			4	4	4

- Upon **CBL trade execution**, oldest vintages will be delivered first. If there are several vintages of the same month and year, oldest tax lots will settle first.

# Managing US REC Deposits (cont.)

## Navigating the Compliance Deposits Screen

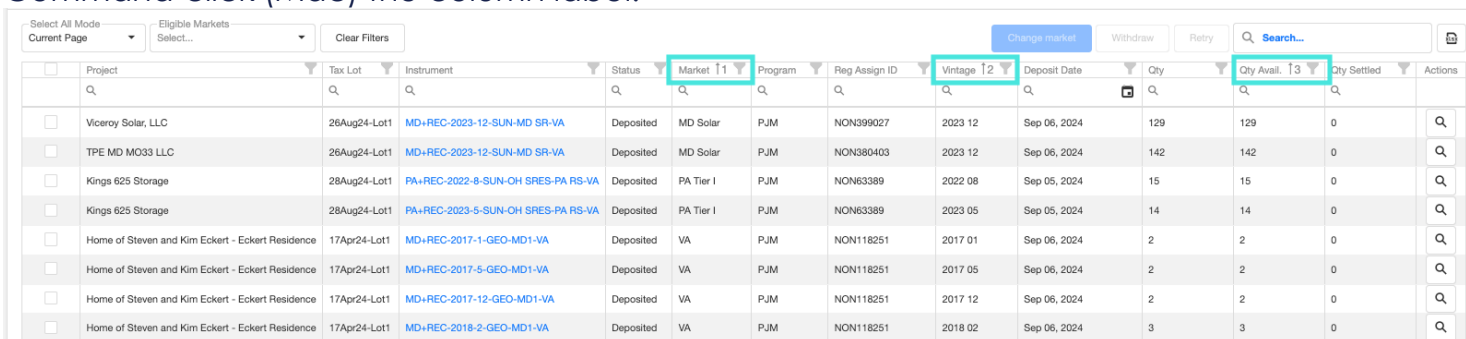
**Filtering:** to filter for a specific value in each column tap the filter icon  at the top of the row and a list of values to select will be shown. In the example below, MD Solar Market rows will be displayed when OK is pressed.



The screenshot shows the 'COMP Deposits' interface. At the top, there are controls for 'Select All Mode' (Current Page), 'Eligible Markets' (Select...), and 'Clear Filters'. Below this is a table with columns: Project, Tax Lot, Instrument, Status, Market, and Program. A filter dropdown is open for the 'Market' column, showing a list of options: 'Select All', 'MD Solar' (which is checked), 'PA Tier I', 'VA', and 'Virginia DG'. 'OK' and 'Cancel' buttons are at the bottom of the dropdown.

**Sorting:** to sort a column, click on the label of the column. When sorted the ascending or descending arrow will be shown next to the column being sorted.

To sort by an additional column shift-click the additional column. This will show the additional column selected with a number depicting the level of the sorting for that additional column. To remove a column from the sort order, CTRL-click (PC) or Command-click (Mac) the column label.



The screenshot shows the 'COMP Deposits' interface with a table of data. The table has columns: Project, Tax Lot, Instrument, Status, Market, Program, Reg Assign ID, Vintage, Deposit Date, Qty, Qty Avail., Qty Settled, and Actions. The 'Market' column is sorted with '1' and an arrow, 'Vintage' with '2', and 'Qty Avail.' with '3'. A search bar at the top right contains the text 'Search...'. There are also buttons for 'Change market', 'Withdraw', and 'Retry'.

**Global Search:** a global Search function is available to find values across all columns. To search enter a value into the Search box at the top right of the screen. Values that match the search criteria will be returned. Example: search 2023 and the results will be displayed. To clear the search tap the 'x' in the search box.

**Search by column** is also available. To search for a value in a specific column enter the value in the search area at the top of each column.

Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
Viceroy Solar, LLC	26Aug24-Lot1	MD+REC-2023-12-SUN-MD SR-VA	Deposited	MD Solar	PJM	NON399027	2023 12	Sep 06, 2024	129	129	0	Q
TPE MD MO33 LLC	26Aug24-Lot1	MD+REC-2023-12-SUN-MD SR-VA	Deposited	MD Solar	PJM	NON380403	2023 12	Sep 06, 2024	142	142	0	Q
Kings 625 Storage	28Aug24-Lot1	PA+REC-2023-5-SUN-OH SRES-PA RS-VA	Deposited	PA Tier I	PJM	NON63389	2023 05	Sep 05, 2024	14	14	0	Q

**Export:** to export the data from the Compliance Deposits screen to Excel, click the icon in the upper right corner and the data will be exported to a .csv file.

Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
Viceroy Solar, LLC	26Aug24-Lot1	MD+REC-2023-12-SUN-MD SR-VA	Deposited	MD Solar	PJM	NON399027	2023 12	Sep 06, 2024	129	129	0	Q
Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2017-12-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2017 12	Sep 06, 2024	2	2	0	Q
Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2017-1-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2017 01	Sep 06, 2024	2	2	0	Q

**Retry:** in the event SIP deposit(s) are in the **Rejected** state, user can Retry by selecting the check box on the left of the affected row, then click the Retry option. The deposits will attempt to redeposit to CBL platform.

Market	Status	Program	Project	Instrument	Vintage	Qty	Qty Avail.	Qty Settled	Priority	Actions
NGEO	Deposited	VCS	1748 - Southern Cardamom REDD+ Project	VCU-20180101-20181231-A/R-KHM	2018	600	600	0	1	Q
CGEO	Deposited	VCS	1506 - Cirakdami Hydro Electricity Power Plant	VCU-20200101-20200930-EE-TUR	2020	250	225	25	1	Q
NGEO	Deposited	VCS	1748 - Southern Cardamom REDD+ Project	VCU-20160101-20161231-A/R-KHM	2016	200	200	0	1	Q
<input checked="" type="checkbox"/>	Rejected	VCS	1748 - Southern Cardamom REDD+ Project	VCU-20180101-20181231-A/R-KHM	2018	50	50	0	1	Q
<input checked="" type="checkbox"/>	Rejected	VCS	1650 - Reduced Emissions from Deforestation and Degradation in Keo Seima Wildlife Sanctuary	VCU-20190101-20191231-A/R-KHM	2019	50	50	0	1	Q
NGEO	Deposited	VCS	1689 - Turning REDD+ Project	VCU-20180101-20181231-A/R-KHM	2018	150	150	0	1	Q
CGEO	Deposited	VCS	1068 - Hydroelectric Project El Edáñ	VCU-20170216-20171231-EE-COL	2017	100	100	0	1	Q

Count: 7      Sum: 1400      Sum: 1375      Sum: 25



# Managing US REC Deposits (cont.)

## Manage Deposited REC Eligibility

Users have the option to change the market eligibility for multi-eligible deposits, by selecting the desired market in the Eligible Markets dropdown. Then select the relevant check box(es) on the far left for the deposits you want to update, then click Change Market towards the upper right.

Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions	
<input checked="" type="checkbox"/>	Vergennes Comfort Hill Vergennes	15Jul24-Lot1	VT+REC-2024-1-SUN-CT-I-MA CES-MA I-VT 1-VT 2	Deposited	CT Class I	NEPOOL	NON90814	2024 01	Sep 06, 2024	14	14	0	Q
<input type="checkbox"/>	GREENDVLPMT02865SOLAR3000NM	15Jul24-Lot1	RI+REC-2024-3-SUN-CT-I-MA CES-MA I-RI NRR	Deposited	CT Class I	NEPOOL	MSS67689	2024 03	Sep 06, 2024	397	397	0	Q
<input type="checkbox"/>	Vergennes Comfort Hill Vergennes	15Jul24-Lot1	VT+REC-2024-3-SUN-CT-I-MA CES-MA I-VT 1-VT 2	Deposited	CT Class I	NEPOOL	NON90814	2024 03	Sep 06, 2024	60	60	0	Q
<input checked="" type="checkbox"/>	GREENDVLPMT02865SOLAR3000NM	15Jul24-Lot1	RI+REC-2024-1-SUN-CT-I-MA CES-MA I-RI NRR	Deposited	CT Class I	NEPOOL	MSS67689	2024 01	Sep 06, 2024	106	106	0	Q
<input type="checkbox"/>	GREENDVLPMT02865SOLAR3000NM	15Jul24-Lot1	RI+REC-2024-2-SUN-CT-I-MA CES-MA I-RI NRR	Deposited	CT Class I	NEPOOL	MSS67689	2024 02	Sep 06, 2024	307	307	0	Q
<input type="checkbox"/>	Vergennes Comfort Hill Vergennes	15Jul24-Lot1	VT+REC-2024-2-SUN-CT-I-MA CES-MA I-VT 1-VT 2	Deposited	CT Class I	NEPOOL	NON90814	2024 02	Sep 06, 2024	61	61	0	Q
									Sum: 945	Sum: 945	Sum: 0		

In the next screen, update the Market Change Quantity if needed, then click Execute.

Project	Tax Lot	Current Market	Product Code	Program	Reg Assign ID	Vintage	Transaction ID	Qty Avail.	Market Change Quantity
GREENDVLPMT02865SOLAR3000NM	15Jul24-Lot1	CT Class I	NEPOOL-CT_I-2024	NEPOOL	MSS67689	2024 01	18EB8EB29	106	106
Vergennes Comfort Hill Vergennes	15Jul24-Lot1	CT Class I	NEPOOL-CT_I-2024	NEPOOL	NON90814	2024 01	18EB8EB11	14	14
									Sum: 120

The current REC deposit will be withdrawn from CBL and replaced with a new deposit reflecting the updated market eligibility.

Project	Product Code	Transaction ID	Reg Assign ID	Vintage	Market Change Quantity	Market	Result	Details
GREENDVLPMT02865SOLAR3000NM	NEPOOL-MA_I-2024	18EB8EB29	MSS67689	2024 01	106	MA_I	SUCCESS	
Vergennes Comfort Hill Vergennes	NEPOOL-MA_I-2024	18EB8EB11	NON90814	2024 01	14	MA_I	SUCCESS	

Holdings		Holding Transactions					
Id	Created	Instrument	Project ID	Vintage	Type	Quantity	Holding Transaction Status
3529148	Today 20:28:42	MA1v24			Deposit	120	Confirmed
3529147	Today 20:28:42	CT1v24			Withdraw	120	Confirmed

# Withdrawing US RECs

To Withdraw a REC Deposit from the CBL Platform:

- Go to Transact > Compliance Deposits option on the top menu
- Select your withdrawals by checking the boxes on the far left
- Click Withdraw towards the upper right

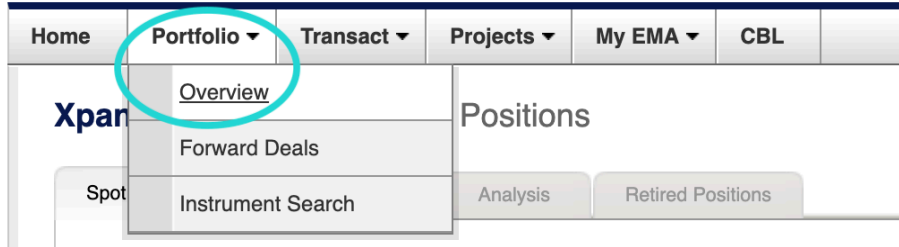
COMP Deposits - Account: 098B3293

Select All Mode: Current Page | Eligible Markets: Select... | Clear Filters | Change market | **Withdraw** | Retry | Search...

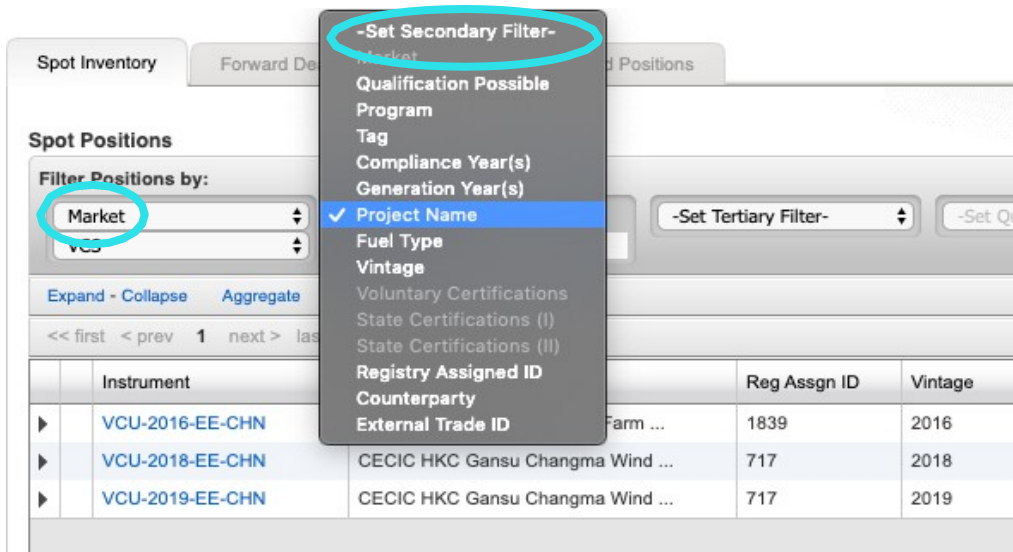
<input type="checkbox"/>	Project	Tax Lot	Instrument	Status	Market	Program	Reg Assign ID	Vintage	Deposit Date	Qty	Qty Avail.	Qty Settled	Actions
<input type="checkbox"/>	Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2017-12-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2017 12	Sep 06, 2024	2	2	0	Q
<input type="checkbox"/>	Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2017-1-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2017 01	Sep 06, 2024	2	2	0	Q
<input checked="" type="checkbox"/>	Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2018-5-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2018 05	Sep 06, 2024	3	3	0	Q
<input type="checkbox"/>	Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2017-5-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2017 05	Sep 06, 2024	2	2	0	Q
<input checked="" type="checkbox"/>	Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2018-9-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2018 09	Sep 06, 2024	2	2	0	Q
<input type="checkbox"/>	Home of Steven and Kim Eckert - Eckert Residence	17Apr24-Lot1	MD+REC-2018-2-GEO-MD1-VA	Deposited	VA	PJM	NON118251	2018 02	Sep 06, 2024	3	3	0	Q
<input type="checkbox"/>	Kings 625 Storage	28Aug24-Lot1	PA+REC-2023-5-SUN-OH SRES-PA RS-VA	Deposited	PA Tier I	PJM	NON63389	2023 05	Sep 05, 2024	14	14	0	Q
<input type="checkbox"/>	Kings 625 Storage	28Aug24-Lot1	PA+REC-2023-5-SUN-OH SRES-PA RS-VA	Deposited	PA Tier I	PJM	NON63389	2023 05	Sep 05, 2024	14	14	0	Q

# Retire Credits

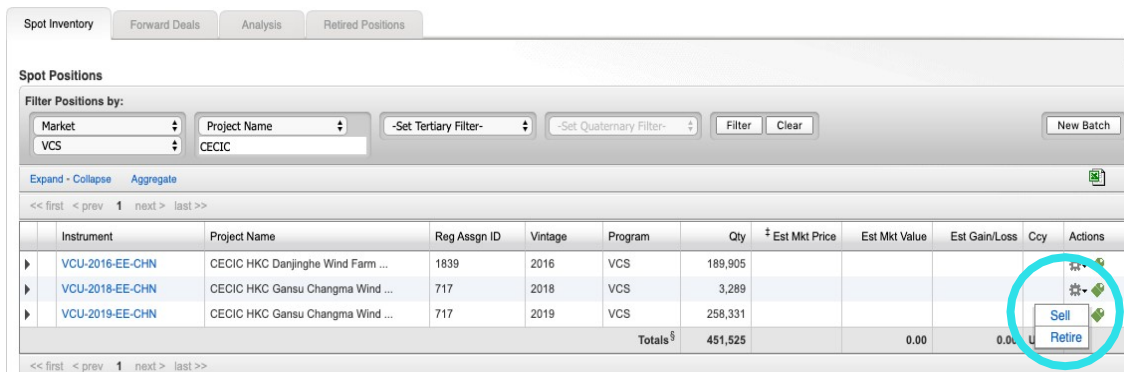
- Log into Xpansiv Connect and click on **Portfolio > Overview**.



- From the **Spot Inventory** tab, use the filter(s) to find the credits you want to retire.
- Filter the positions by **Market** and **Secondary Filter** of your requirement if needed.



- Once selected, click on the gear wheel icon under **Actions** on the right.
- Select **Retire** and a new screen will open.



\* The Quantity (Qty) amount includes the effects of currently unsettled transactions for an instrument.

# Retire Credits (cont.)

- Enter **Quantity** of credits you want to retire.

## Xpansiv Transact: Retire Credits

**Trade Lot**

Required fields are indicated by **Bold Text**

**Account**

Retirement Date Sep 28 2022

Description ERT-20030101-20031231-TRP\_FLE-USA

Symbol 1256FC52F0

Quantity Available 943

**Quantity**

- Re-enter the quantity you want to retire in the **Tax Lot Info** section.

**Tax Lot Info**

Required fields are indicated by **Bold Text**

Available Tax Lot(s)	Lot	Date	Prev Counterparty	Price	Ccy	Qty	Qty Selected
<input checked="" type="checkbox"/>	12Feb20-Lot1	Feb 12 2020	EMA CBL Markets Settlements	0.8000	USD	189,905	<input type="text"/>
Total Quantity Selected							0

- Complete the Retirement Info
- Retirement sub-account
- Beneficial owner/Transferee/Honoree (who the retirement is for)
- Retirement reason
- All email addresses you want notifications sent to, separated by semicolon
- Select check box if you want the make the retirement reason and details public. Select **Submit** and then **CONFIRM**.
- NOTE: Retirement certificates can be generated by the **Registry Administrator** if needed.

**Retirement Info**

Required fields are indicated by **Bold Text**

**Retirement Sub-Account**

**Transferee/Honoree/Other**

**Retirement Reason**

Retirement Reason Details

**Email Notification**

(0, max 200)  
Separate addresses with a semicolon ";"



Make Account Name and Retirement Reason Details Public

**Submit Retirement Transaction**



















Cancel

# View: Retired Positions

Under [Portfolio > Overview](#), the [Retired Positions](#) screen organizes and displays your retired positions by year, regime, retirement type, and quantity.

Your retired positions can be viewed in the aggregate or at the transaction level by clicking on the  and  icons.

All your retired positions can be exported to a CSV file by clicking on the  icon

Retired Positions by Year											
										Quantity	
<b>2022</b>										<b>539,830</b>	
Regime	Retirement Type										Quantity
	<b>VCS</b>	<b>Retirement for Person or Organization</b>									<b>339,902</b>
Year	Regime	Retirement Type	Project Name	Instrument Type	Vintage	Sub-Account	Compliance	Retirement Date	Details	Quantity	
2022	VCS	Retirement for Person or Organization	49.5 MW Wind Power Project by FFCEL in Pakistan	PROJECT_OFFSET	2013	Default		Apr 26 2022		56,798	
2022	VCS	Retirement for Person or Organization	49.5 MW Wind Power Project by FFCEL in Pakistan	PROJECT_OFFSET	2014	Default		Apr 26 2022		84,635	
2022	VCS	Retirement for Person or Organization	Fulton County Mud Road Landfill Carbon Offset Project	PROJECT_OFFSET	2020	Default		Apr 08 2022		104	
2022	VCS	Retirement for Person or Organization	Salto Pilão Hydropower Plant Project Activity	PROJECT_OFFSET	2017	Default		Jan 24 2022		99	
2022	VCS	Retirement for Person or Organization	Greater New Bedford LFG Utilization project	PROJECT_OFFSET	2020	Default		Aug 01 2022		8,265	
2022	VCS	Retirement for Person or Organization	Hebei Haixing 49.5MW Wind Farm Project	PROJECT_OFFSET	2013	Default		Aug 29 2022		12	
2022	VCS	Retirement for Person or Organization	THE HYUNDAI STEEL WASTE ENERGY COGENERATION PROJECT	PROJECT_OFFSET	2015	Default		Apr 26 2022		50,000	
2022	VCS	Retirement for Person or Organization	Salto Pilão Hydropower Plant Project Activity	PROJECT_OFFSET	2017	Default		Apr 08 2022		409	
2022	VCS	Retirement for Person or Organization	THE HYUNDAI STEEL WASTE ENERGY COGENERATION PROJECT	PROJECT_OFFSET	2015	Default		Apr 26 2022		50,000	
2022	VCS	Retirement for Person or Organization	THE HYUNDAI STEEL WASTE ENERGY COGENERATION PROJECT	PROJECT_OFFSET	2015	Default		Apr 26 2022		50,000	
<< first < prev 1 2 3 next > last >>											
	<b>VCS</b>	<b>Other</b>									<b>6,097</b>
	<b>VCS</b>	<b>Environmental Benefit</b>									<b>340</b>
	<b>CAR</b>	<b>On Behalf of Third Party</b>									<b>138,086</b>
	<b>CAR</b>	<b>Environmental Benefit</b>									<b>50</b>
	<b>CAR</b>	<b>Other</b>									<b>18,539</b>
	<b>ACR</b>	<b>On Behalf of Third Party</b>									<b>36,424</b>
	<b>ACR</b>	<b>Other</b>									<b>392</b>

# Registries and Instruments Not Integrated to Xpansiv Connect

## Gold Standard Verified Emission Reductions (VERs)

### Gold Standard Registry

**Depositing** VER units for trading on CBL Markets

For trading VERs, please transfer units within Gold Standard Registry to Participant's designated CBL Markets Listing Sub Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of VERs to Participant's CBL Markets Listing Sub Account, CBL Market Operations will be notified of incoming transfer. Market Operations will review the incoming units and facilitate deposit to Participant's holdings on the Platform making them available to list.

Buying Participant must have the USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

**Withdrawing** units from CBL Markets to Participant's primary Gold Standard Registry Account

Participants may submit requests to withdraw VER holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to the Gold Standard registry account provided in the Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

# Puro Standard CO<sub>2</sub> Removal Certificates (CORCs)

## Puro Registry

### **Depositing** CORC units for trading on CBL Markets

For trading CORCs, please transfer units within Puro Registry to Participant's designated CBL Market Registry Account; this is a sub account of CBL's Platform Operator account with Puro Registry. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of CORCs to Participant's CBL Market Registry Account, CBL Market Operations will be notified of incoming transfer. Market Operations will review the incoming units and facilitate deposit to Participant's holdings on the Platform making them available to list.

Buying Participant must have the USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### **Withdrawing** units from CBL Markets to Participant's primary Puro Registry Account

Participants may submit requests to withdraw CORC holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to the Puro Registry account provided in the Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Sustainable Development Global Emissions Offset (SDGEO)

Gold Standard and Verra can be delivered for SDGEO; both registries are required to transact GEO on CBL.

**Depositing** SDGEO eligible units for trading on CBL Markets

Please transfer SDGEO eligible Units to the Participant's designated SDGEO Registry Account in either Gold Standard or Verra. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your SDGEO account information.

Upon successful transfer of units to your SDGEO Registry Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

**Withdrawing** units from CBL Markets to your primary Verra/Gold Standard account

Participants may submit requests to withdraw SDGEO holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your primary Verra/Gold Standard account. User submitting the withdrawal request must have Product Authority on record with CBL.



## California Cap and Trade

### Compliance Instrument Tracking System Services (CITSS)

MIAX Futures Exchange, LLC (MIAX) has appointed CBL Markets as authorized account representative to manage day-to-day operation of their CITSS Registry Account to house Participant allowances for the purposes of transacting on CBL Market.

**Depositing** California carbon allowances and/or offset for trading on CBL Markets

For trading in the California Cap-and-Trade Program, please transfer Units within CITSS to:

- **Account Name:** Minneapolis Grain Exchange, Inc.
- **Account Number:** CA1943-2290
- **Entity Reference Code:** 71496513

Please note that **due to ARB Regulations**, all Units held in the MIAX ECHA will be returned to Participants after the close of trading at the end of each week.

Upon successful transfer of CITSS units to MIAX ECHA, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

**Withdrawing** units from CBL Market to your CITSS General Account

Participants may submit requests to withdraw CCA/CCO holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your CITSS account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Regional Greenhouse Gas Initiative (RGGI)

### Depositing RGGI allowances for trading on CBL Markets

For trading in the Regional Greenhouse Gas Initiative Program, please transfer Units within RGGI COATS to Participant's designated CBL Market Registry Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of RGGI units to your CBL Market Registry Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### Withdrawing units from CBL Markets to your RGGI general Account

Participants may submit requests to withdraw RGGI holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your RGGI account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Clean Air Markets Division Business System (CAMDBS)

### **Depositing** CAMD allowances for trading on CBL Markets

For trading in EPA Clean Air Markets Division, please transfer Units within CAMDBS to Participant's designated CBL Market Registry Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of CAMD units to your CBL Market Registry Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

### **Withdrawing** units from CBL Markets to your CAMD Account

Participants may submit requests to withdraw CAMD holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your CAMD account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Low Carbon Fuel Standard (LCFS)

### LCFS Reporting Tool – Credit Bank and Transfer System (LRT-CBTS)

MIAX Futures Exchange, LLC (MIAX) has appointed CBL Markets as authorized account representative to manage day-to-day operation of their LRT-CBTS Registry Account to house Participant units for the purposes of transacting on CBL Market.

#### Depositing LCFS units for trading on CBL Markets

Please transfer Units within LRT-CBTS to:

- **Select Transfer Type 3:** Agreements for the sale of LCFS credits through any contract arranged through a clearing service provider.
- **Account Name:** Minneapolis Grain Exchange, Inc.
- **Click** the **Exchange Transfer** box and leave price blank

Please note that **due to ARB Regulations**, all Units held in the MIAX Holding Account will be returned to Participants after the close of trading at the end of each week.

Upon successful transfer of LCFS units to MIAX Holding Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their USD-BOFA account funded in advance of entering bids or lifting offers. See [Entering Orders on CBL](#) for **funding instructions**.

**Trading:** bid and offer orders are initiated and managed on the CBL Market Platform.

#### Withdrawing units from CBL Market to your LRT-CBTS Account

Participants may submit requests to withdraw LCFS holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your LRT-CBTS account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

## Australian Carbon Credit Units (ACCU) Australian National Registry of Emissions Units (ANREU)

**Depositing** generic ACCU and ACCU-HIR for trading on CBL Markets

For trading Australian Carbon Credit Units, please transfer Units within ANREU to Participant's designated CBL Market Holdings Account. Contact Client Service at [clientservice@cblmarkets.com](mailto:clientservice@cblmarkets.com) if you need your account information.

Upon successful transfer of ACCUs to your CBL Market Holdings Account, CBL Market Operations will be notified of your incoming transfer. Market Operations will review the incoming units and facilitate deposit to your holdings on the Platform making them available for you to list.

Buying Participant must have their AUD-REC account funded in advance of entering bids or lifting offers. Please **transfer AUD** to the following account in **Westpac Banking Corporation**:

Account Name: CBL Markets (Australia) Pty Ltd

Account Number: contact CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

BSB (Routing): 034215

SWIFT: WPACAU2S

Bank Name: Westpac

Bank Address: Level 31, 275 Kent Street, Sydney NSW, 2000 Australia

**Trading**: bid and offer orders are initiated and managed on the CBL Market Platform.

**Withdrawing** units from CBL Markets to your ANREU Account

Participants may submit requests to withdraw ACCU holdings from CBL Market by emailing CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). CBL Market Operations will facilitate the transfer to your ANREU account provided in your Participant application. User submitting the withdrawal request must have Product Authority on record with CBL.

**PART 3:**

# Reported Transactions

# Reporting a Trade

Both counterparties must be active CBL Participants with the relevant product(s) set up to transact on CBL (i.e., active registry account linked, instrument available to Participant to transact, trader user identified, buyer in-funds, seller in-product, etc.)

Appropriate party submits **Order Ticket** to **CBL Market Operations** ([operations@cblmarkets.com](mailto:operations@cblmarkets.com)) using the following template.

All information is required to facilitate reported transactions. If a field is not applicable, please fill in 'n/a'; for example, LCFS does not require Project ID or Vintage.

Seller Firm/Trader's CBL Username\*:

Buyer Firm/Trader's CBL Username\*:

Broker (if applicable):

Market (Voluntary, SIP, Compliance):

Instrument (VCS, ERT, VERR, GEO, NCEO, NJSR, MASR2, etc.):

Project ID (if applicable):

Vintage:

Quantity:

List Price:

\*The individual accepting the trade on CBL must have an active CBL user with Trader authority enabled.

**Questions** about reported trades?

**Commercial** – [exchange@xpansiv.com](mailto:exchange@xpansiv.com)

**Market Operations** – [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

**Phone:** [+1-833-972-6677](tel:+1-833-972-6677)

# Reporting a Trade: Voluntary

**VCS, CAR, ACR, COU, IREC:** Seller deposits specified units to CBL Market with priority 1 (ONE). All other VCM deposits in the same project and vintage, if any, must be priority 2 or higher to ensure delivery of the negotiated project, vintage, and quantity. See [Depositing Voluntary Carbon \(VCM\) to CBL](#) for details on depositing and withdrawing VCM units.

**VER:** Seller transfers units within Gold Standard Registry to Participant's designated CBL Markets Listing Sub Account; **CBL Market Operations** will accept the incoming units and deposit to the respective seller's Participant account on the Market.

**CORC:** Seller transfers product to Participant's designated CBL Market Registry Account in Puro; **CBL Market Operations** will accept the incoming units and deposit to the respective seller's Participant account on the Market.

**ACCUR:** Seller transfers product to Participant's designated CBL Market Holdings Account in ANREU; **CBL Market Operations** will accept the incoming units and deposit to the respective seller's Participant account on the Market.

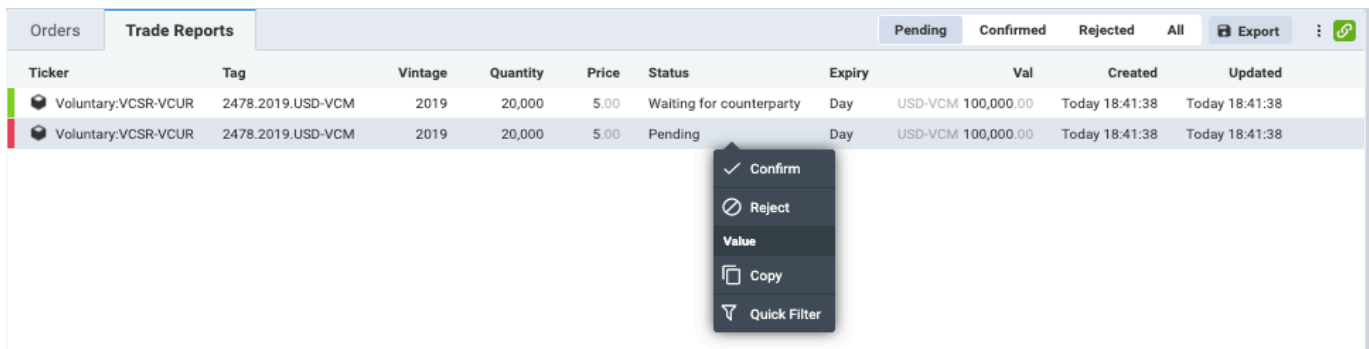
**Buyer** must be in-funds, inclusive of CBL fees, in the Participant Market Bank Account (USD-BOFA) to accept their side of the order. See [Entering Orders on CBL](#) for **funding instructions**.

For ACCUR, **buyer** must fund their AUD-REC account. See [Australian Carbon Credit Units \(ACCU\)](#) for bank funding instructions.

**CBL Market Operations** will book the Reported Transaction to CBL and notify the respective counterparties when ready to accept.

**Log in** to CBL Markets and select **Voluntary Market** workspace, then select **Trade Reports** tab at bottom of the screen

Right click the **Status** a pop up will appear where you can either **Confirm** or **Reject** the trade.



The screenshot shows a web application interface for 'Trade Reports'. At the top, there are tabs for 'Orders' and 'Trade Reports', and a filter menu with options: 'Pending', 'Confirmed', 'Rejected', 'All', and 'Export'. Below the tabs is a table with columns: Ticker, Tag, Vintage, Quantity, Price, Status, Expiry, Val, Created, and Updated. Two rows of data are visible, both with 'Voluntary:VCSR-VCUR' as the ticker and '2478.2019.USD-VCM' as the tag. The first row has a status of 'Waiting for counterparty' and the second row has a status of 'Pending'. A context menu is open over the 'Pending' status of the second row, showing options: 'Confirm' (with a checkmark icon), 'Reject' (with a circle with slash icon), 'Value', 'Copy' (with a document icon), and 'Quick Filter' (with a magnifying glass icon).

Ticker	Tag	Vintage	Quantity	Price	Status	Expiry	Val	Created	Updated
Voluntary:VCSR-VCUR	2478.2019.USD-VCM	2019	20,000	5.00	Waiting for counterparty	Day	USD-VCM 100,000.00	Today 18:41:38	Today 18:41:38
Voluntary:VCSR-VCUR	2478.2019.USD-VCM	2019	20,000	5.00	Pending	Day	USD-VCM 100,000.00	Today 18:41:38	Today 18:41:38



# Reporting a Trade: SIP

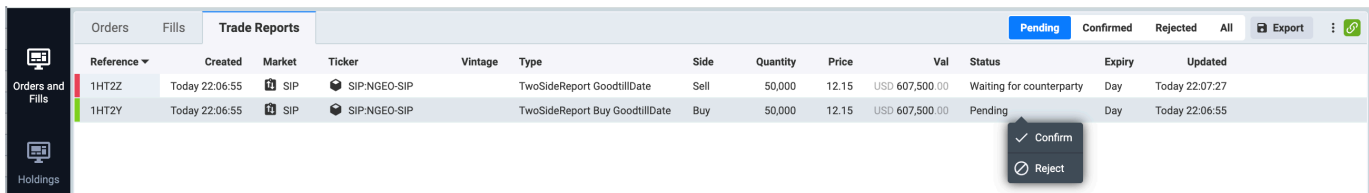
**Seller** deposits SIP eligible product to CBL Market with priority 1 (ONE). All other SIP deposits in the same instrument must be priority 2 or higher to ensure delivery of the negotiated project, vintage, and quantity. See [Depositing SIP to CBL](#) for details on depositing and withdrawing SIP units.

**Buyer** must be in-funds, inclusive of CBL fees, in the Participant Market Bank Account (USD-BOFA) to accept their side of the order. See [Entering Orders on CBL](#) for **funding instructions**.

**CBL Market Operations** will book the Reported Transaction to CBL and notify the respective counterparties when ready to accept.

**Log in** to CBL Markets and select **SIP** workspace, then select **Trade Reports** tab at bottom of the screen.

Right click the **Status** a pop up will appear where you can either **Confirm** or **Reject** the trade.



Reference	Created	Market	Ticker	Vintage	Type	Side	Quantity	Price	Val	Status	Expiry	Updated
1HT2Z	Today 22:06:55	SIP	SIP:NGEO-SIP		TwoSideReport GoodtillDate	Sell	50,000	12.15	USD 607,500.00	Waiting for counterparty	Day	Today 22:07:27
1HT2Y	Today 22:06:55	SIP	SIP:NGEO-SIP		TwoSideReport Buy GoodtillDate	Buy	50,000	12.15	USD 607,500.00	Pending	Day	Today 22:06:55

# Reporting a Trade: Compliance

**Seller** encumbers or deposits the negotiated units to CBL Market

- US RECS: [deposit](#) to CBL Market via Xpansiv Connect
- [RGGI](#), [CAMD](#): transfer product to Participant CBL Registry Account
- [CITSS](#), [LCFS](#): transfer product to **MIAX holding account**
- If needed, **CBL Market Operations** will accept the incoming units and deposit to the respective seller's account on the Market.

**Buyer** must be in-funds, inclusive of CBL fees, in the Participant Market Bank Account (USD-BOFA) to accept their side of the order. See [Entering Orders on CBL](#) for **funding instructions**.

**CBL Market Operations** will book the Reported Transaction to CBL and notify the respective counterparties when ready to accept.

**Log in** to CBL Markets and select **Compliance Market** workspace, then select **Trade Reports** tab at bottom of the screen.

Right click the **Status** a pop up will appear where you can either **Confirm** or **Reject** the trade.

Reference	Created	Market	Ticker	Vintage	Type	Side	Quantity	Price	Status	Val	Expiry	Updated
1HT3L	Today 20:22:11	Compliance	Compliance:PJM-DCSR22	TwoSideReport	GoodtillDate	Sell	2,250	370.00	Waiting for counterparty	USD 832,500.00	Day	Today 20:25:24
1HT3K	Today 20:22:11	Compliance	Compliance:PJM-DCSR22	TwoSideReport	Buy GoodtillDate	Buy	2,250	370.00	Pending	USD 832,500.00	Day	Today 20:22:11

**PART 4:**

# Post Trade Execution

# Trade Report Notification

Upon matching on CBL Market, the trader user which executed will receive a [trade report notification email](#).

Sample trade report email:

**Subject:** CBL TRADE ALERT: SALE 0000099999  
**Date:** Monday, September 26, 2022 at 8:14:34 AM Eastern Standard Time  
**From:** CBL Operations <operations@cblmarkets.com>  
**To:**

Dear Client,

TRADE ALERT: SALE

Account Name:	Trade Capital USA, Inc
CBL Membership No:	321123
Transaction No:	0000099999
Status:	matched
Date/Time:	08/07/2020 13:12:14 (UTC)
Product:	VCU-Voluntary
Vintage:	2018
Standard:	VCS
Project Type:	Energy Industries – renewable/non-renewable sources
Region/Country:	Asia/China
Volume Traded:	10,000.0t
Unit Price:	USD \$6.60
Total Price:	USD \$66,000.00

An additional email address can be added to receive trade report notifications. A Participant can provide CBL with a group distribution email address to add. This way you, the Participant, controls who receives these reports. These requests can be submitted to CBL [Market Operations \(operations@cblmarkets.com\)](#) or [Client Service \(clientservice@cblmarkets.com\)](#).

# Purchased Holdings

## Voluntary

- VCS, CAR, ACR, COU, IREC buyers retain deposits on CBL
  - It is recommended buyer prioritize these holdings in Xpansiv Connect in the event user plans to list them; they are otherwise last in the delivery priority order.
  - Participant can withdraw VCM holdings from CBL within Xpansiv Connect by referring to Voluntary Deposit inventory and initiating a withdrawal of product; once selected, the product is returned to the Xpansiv Connect portfolio within 1-2 minutes. See [Withdrawing Voluntary Carbon \(VCM\) from CBL](#) for details on withdrawing VCM units.

## Gold Standard

- Purchased holdings remain on the Market available in buying Participant's inventory.
- If Participant wishes to withdraw holdings, email CBL [Market Operations](#) @ [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with product access.
- CBL Market Operations enters holdings withdrawal on CBL Market Platform and initiates transfer in the registry to the Participant's primary account

## CORCs

- The trading day for CORCs ends at 23:59:59 UTC and new trading day starts with the date roll over at 00:00:00 UTC. This is relevant for the once-a-day settlement transfer process.
- If Participant ends the trading day as a net seller, CBL Market Operations facilitates transfer of units to the buying Participant's CBL Market Registry Account. Settlement transfers begin (T+1) at 08:00 ET Monday-Friday. \*Note: the product and cash move at time of transaction on the CBL Platform.

## SIP

- SIP buyers retain deposits on CBL
- It is recommended buyer prioritize these holdings in Xpansiv Connect in the event they plan to list them; they are otherwise last in the delivery priority order.
- Participant can withdraw SIP holdings from CBL within Xpansiv Connect by referring to their SIP Deposit inventory and initiating a withdrawal of product; once selected, the product is returned to the Xpansiv Connect portfolio within 1-2 minutes. See [Withdrawing SIP Deposits from CBL](#) for details on withdrawing SIP units.

## SDGEO

- SDGEO trading day ends at 23:59:59 UTC and new trading day starts with the date roll over at 00:00:00 UTC. This is relevant for the once-a-day settlement transfer process.

- If Participant ends the trading day as a net seller, CBL Market Operations facilitates transfer of units to the buying Participant's SD-GEO Registry Account. Settlement transfers begin (T+1) at 08:00 ET Monday-Friday. \*Note: the product and cash move at time of transaction on the CBL Platform.

### US RECs

- As a buyer, buyers retain deposits on CBL.
- Participant can withdraw REC holdings from CBL within Xpansiv Connect by referring to Compliance Deposit inventory and initiating a withdrawal of product; once selected, the product is returned to the Xpansiv Connect portfolio within 1-2 minutes. See [Withdrawing US RECs from CBL](#) for details on withdrawing RECs.

### RGGI and CAMD

- Purchased holdings remain on the Market available in buying Participant's inventory.
- If Participant wishes to withdraw holdings, email CBL [Market Operations](#) @ [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with product access.
- CBL Market Operations enters holdings withdrawal on CBL Market Platform and initiates transfer in the registry to the Participant's primary account

### CITSS and LRT-CBTS

- Participant may request withdrawal of purchased or unsold holdings by emailing CBL [Market Operations](#) @ [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with product access.
- CBL Market Operations enters holdings withdrawal on CBL Market Platform and initiates transfer in the registry back to the Participant's primary account.
- Unless otherwise requested sooner, CBL Market Operations will automatically return all units to Participants at week's end.

### ANREU ACCU

- CBL Market Operations team will facilitate settlement transfers:
  - **Settlement Window #1:** 14:00 to 15:00 AEPT the same business day as Market Open – all trades that occur on the market between 10:00 AEPT (Market Open) and 13:59:59 AEPT will be physically settled during this settlement window. Product and Cash withdrawal requests associated with trades that have occurred before this window will be processed.
  - **Settlement Window #2:** 09:00 to 10:00 AEPT the following business day – all trades that occur on the market between 14:00 to 17:00 AEPT the business day prior will be physically settled during this settlement window before market open of the next trading day. Product and Cash withdraw requests associated with trades that have occurred before this window will be processed.
  - **ACCU Prioritization:** If a participant would like to prioritize the ACCUs they want delivered during a settlement window, the participant must submit an ACCU Prioritization spreadsheet within 10-minutes of the start of the settlement window

for the prioritization to occur. An ACCU Prioritization spreadsheet can be requested from [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

If an ACCU Prioritization spreadsheet is not received, the CBL Markets Operations Team will use the following **Delivery Methodology** – in order of priority

1. Vintage > Oldest First
  2. Quantity > Largest Size First: largest batch quantities will be first out
- ACCU **seller** can request withdrawal of AUD proceeds by contacting CBL Operations at [operations@cblmarkets.com](mailto:operations@cblmarkets.com). The individual requesting withdrawal must be listed on the CBL Authorized Persons form with cash access.

# Settlement of Funds

A CBL User with cash manager authority can initiate **funds withdrawal** directly on CBL Platform. External bank instructions require **verbal confirmation** prior to the first withdrawal being processed. This is performed as part of the on-boarding process, and again if the instructions are changed or updated.

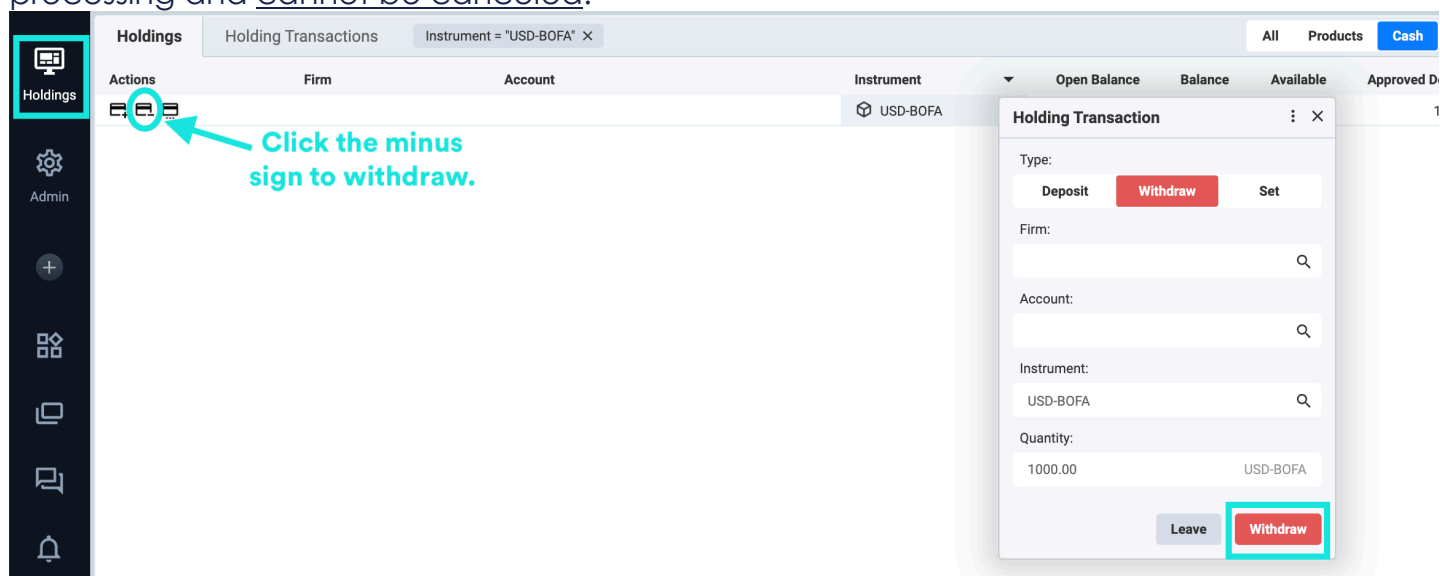
It is recommended that these users first **review** and **verify** the **external bank instructions on CBL Platform** by going to Admin > Bank Accounts. See details for External Account Provider > DEST.



Bank Account Name	Bank Account Number	Routing Number	Routing Number Scheme	Alt Routing Number	Alt Routing Number Scheme	Bank Name	External Account Provider	City
FBO Testfirm1	XXXXXXXX1	111000012	ACH	026009593	Wire	Bank of America N.A.	BOFA	New York
TestFirm 1 Bank Account Name	XXXXXXXX3	0000011111	Wire	1111112222	ACH	Bank Name	DEST	New York

Then go to Holdings > Holdings. Click the minus ('-') sign icon on the left to bring up the Holding Transaction window. Enter the desired dollar amount (USD) in the Quantity field. When ready, click Withdraw.

Withdrawals are **automated**. Once entered on CBL, the Status on the Holding Transactions screen will indicate 'Processing'. It will **immediately** be sent to BofA for processing and cannot be canceled.



Click the minus sign to withdraw.

Funds Withdrawals will be processed to your **nominated external bank account** within 24-48 hours upon request.

If you need additional assistance, please contact **CBL Market Operations** at [operations@cblmarkets.com](mailto:operations@cblmarkets.com) or 833-972-6677, ext 2.



# Training Opportunities

To schedule a training session for CBL, please contact:

**Commercial** – [exchange@xpansiv.com](mailto:exchange@xpansiv.com)

**Market Operations** – [operations@cblmarkets.com](mailto:operations@cblmarkets.com)

**Xpansiv Connect Operations** – [support@xpansiv.com](mailto:support@xpansiv.com)

**Phone:** +1-833-972-6677

